

# Effects of nitrogen deposition and empirical nitrogen critical loads for ecoregions of the United States

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**Abstract.** Human activity in the last century has led to a significant increase in nitrogen (N) emissions and atmospheric deposition. This N deposition has reached a level that has caused or is likely to cause alterations to the structure and function of many ecosystems across the United States. One approach for quantifying the deposition of pollution that would be harmful to ecosystems is the determination of critical loads. A critical load is defined as the input of a pollutant below which no detrimental ecological effects occur over the long-term according to present knowledge.

The objectives of this project were to synthesize current research relating atmospheric N deposition to effects on terrestrial and freshwater ecosystems in the United States, and to estimate associated empirical N critical loads. The receptors considered included freshwater diatoms, mycorrhizal fungi, lichens, bryophytes, herbaceous plants, shrubs, and trees. Ecosystem impacts included: (1) biogeochemical responses and (2) individual species, population, and community responses. Biogeochemical responses included increased N mineralization and nitrification (and N availability for plant and microbial uptake), increased gaseous N losses (ammonia volatilization, nitric and nitrous oxide from nitrification and denitrification), and increased N leaching. Individual species, population, and community responses included increased tissue N, physiological and nutrient imbalances, increased growth, altered root : shoot ratios, increased susceptibility to secondary stresses, altered fire regime, shifts in competitive interactions and community composition, changes in species richness and other measures of biodiversity, and increases in invasive species.

The range of critical loads for nutrient N reported for U.S. ecoregions, inland surface waters, and freshwater wetlands is 1–39 kg N·ha<sup>-1</sup>·yr<sup>-1</sup>, spanning the range of N deposition observed over most of the country. The empirical critical loads for N tend to increase in the following sequence for different life forms: diatoms, lichens and bryophytes, mycorrhizal fungi, herbaceous plants and shrubs, and trees.

The critical load approach is an ecosystem assessment tool with great potential to simplify complex scientific information and communicate effectively with the policy community and the public. This synthesis represents the first comprehensive assessment of empirical critical loads of N for major ecoregions across the United States.

**Key words:** air pollution; atmospheric N deposition; biodiversity; community shifts; natural resource protection; nitrate leaching; nitrogen saturation; plant nitrogen cycling; vegetation type conversion.

## INTRODUCTION

*Effects of nitrogen deposition on ecosystems*

Human activity in the last century has led to a significant increase in nitrogen (N) emissions and deposition (Galloway et al. 2004). Because of past, and, in some regions, continuing increases in emissions (Nilles and Conley 2001, Lehmann et al. 2005), N deposition has reached a level that has caused or is likely to cause alterations in many ecosystems across the United States. In some ecoregions, the impact of N deposition has been severe, altering N cycling and biodiversity. Indicators of altered N cycling include increased N mineralization, nitrification, and nitrate ( $\text{NO}_3^-$ ) leaching rates, as well as elevated plant tissue N concentration. The eventual outcome of increases in these processes can be N saturation, the series of ecosystem changes that occur as available N exceeds plant and microbial demand (Aber et al. 1989, 1998).

As N availability increases there are progressive changes in biotic community structure and composition, including changes in diatom, lichen, mycorrhizal fungal, and terrestrial plant communities. For example, in the Mediterranean California ecoregion, native plant species in some ecosystems have been replaced by invasive species more productive under elevated N deposition (Weiss 1999, Yoshida and Allen 2004, Fenn et al. 2010, Rao and Allen 2010, Rao et al. 2010). Such shifts in plant community composition and species richness can lead to overall losses in biodiversity and further impair particular threatened or endangered species (Stevens et al. 2004), as has occurred for the checkerspot butterfly (Weiss 1999).

*Critical loads definition and previous uses*

One method for evaluating potential impacts of air pollution on ecosystems is the critical load approach. The critical load is defined as “the deposition of a pollutant below which no detrimental ecological effect occurs over the long term according to present knowledge” (UBA 2004). The critical load is reported as a flux ( $\text{kg}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ ). Critical loads have been used broadly in Europe (Posch et al. 1995, 2001) as a tool in the process of negotiating decreases in air pollution. Critical loads have been more widely applied in Canada than in the United States. In Canada, critical loads have been published for upland forests (Ouimet et al. 2006) and lakes (Dupont et al. 2005) in eastern Canada and included in European assessments (Hettelingh et al. 2008). In the United States, critical loads have been calculated for specific regions such as the Northeast (NEG/ECP 2003, Dupont et al. 2005), California (Fenn et al. 2003a, b, 2008, 2010), Colorado (Williams and Tonnessen 2000, Baron 2006, Bowman et al. 2006), the Pacific Northwest (Geiser et al. 2010), and, at a coarse scale, the conterminous United States (McNulty et al. 2007). Critical loads have been determined most frequently in the United States for effects of acidity

(NEG/ECP 2003, Sullivan et al. 2005), but are also being increasingly used in evaluating impacts of N deposition on ecosystems in terms of excess nutrient N availability, also known as eutrophication (Fenn et al. 2008, 2010).

Despite relatively limited use in the United States, the critical loads approach is being explored at state, federal, and international levels as an ecosystem assessment tool with great potential to simplify complex scientific information and communicate effectively with the policy community and the public (Porter et al. 2005, Burns et al. 2008). The critical loads approach can provide a useful lens through which to assess the results of current policies and programs and to evaluate the potential ecosystem-protection value of proposed policy options. Critical loads are used by policymakers to inform the process of setting emissions standards, for assessing emissions control programs, and by natural resource managers as a tool to evaluate the potential impact of new pollution sources (Porter et al. 2005, U.S. EPA 2007, 2008, Burns et al. 2008, Environment Canada 2008, Lovett et al. 2009). Policymakers and resource managers have used critical loads to establish benchmarks for resource protection and to communicate the impacts of deposition on natural resource conditions.

There are three main approaches for estimating critical loads (Pardo 2010): empirical, steady-state mass balance (UBA 2004), and dynamic modeling (Slootweg et al. 2007, de Vries et al. 2010). Empirical critical loads are determined from observations of detrimental responses of an ecosystem or ecosystem component to an observed N deposition input (Pardo 2010). This level of N deposition is set as the critical load and extrapolated to other similar ecosystems. Empirical critical loads for N are based on measurements from gradient studies, field experiments, or observations from long-term studies (Bobbink et al. 1992, 2003, 2010). Steady-state mass balance modeling is based on estimating the net loss or accumulation of N inputs and outputs over the long term under the assumption that the ecosystem is at steady state with respect to N inputs. Dynamic models also use a mass balance approach, but consider time-dependent processes and require detailed data sets for parameterization and testing (Belyazid et al. 2006, de Vries et al. 2007).

The advantage of the empirical approach is that it is based on measurable ecosystem responses to N inputs; however, the method will overestimate the critical load (set it too high) if the system has not reached steady state, i.e., if a similar response would occur at a lower deposition level over a longer period. The advantage of steady-state mass balance approaches is that they are less likely to overestimate the critical load. However, in the United States, the uncertainty associated with steady-state mass balance approaches is high because data are not available to quantify the terms in the mass balance equations accurately. Indeed, our empirical

critical loads synthesis may be useful in defining the acceptable critical thresholds for application in steady-state mass balance critical loads calculations.

Dynamic models for critical loads of N in the United States have been applied on a limited basis (Wu and Driscoll 2010). For dynamic modeling of nutrient N critical loads, empirical critical loads and other response data are essential. Here, too, current data have not been sufficient to develop, parameterize, and test dynamic models of ecosystem structure and function (including changes in biodiversity). Thus, empirical critical loads currently provide a uniquely valuable approach for assessing the risk of harm to ecosystems in the United States. This synthesis is a first step towards identifying which data are available for key ecosystems and where dynamic modeling could most profitably be applied in the United States after further data collection.

### *Objectives*

Our recent publication (Pardo et al. 2011c) synthesized current research relating atmospheric N deposition to effects on terrestrial and freshwater ecosystems in the United States and quantified empirical critical loads for atmospheric N deposition, with one chapter devoted to each of 12 major ecoregions. Our objectives for this paper were to synthesize empirical critical loads for N reported for all the ecoregions of the United States, compare critical loads by life form or ecosystem compartment across all ecoregions, discuss the abiotic and biotic factors that affect the critical loads, and compare critical loads in the United States to those for similar ecoregions/ecosystems in Europe. Finally, we discuss the significance of these findings, and recommend priorities for future research.

### *APPROACH*

For this synthesis, we reviewed studies of responses to N inputs (Pardo et al. 2011c) for U.S. ecoregions as defined by the Commission for Environmental Cooperation (CEC) Level I ecoregions map for North America (Fig. 1; CEC 1997). We identified the receptor of concern (organism or ecosystem compartment), the response of concern, the critical threshold value for that response, and the criteria for setting the critical load and extrapolating the critical load to other sites or regions. These methods are described in detail in Pardo et al. (2011b) and the Appendix.

The receptors evaluated included freshwater diatoms, mycorrhizal fungi, lichenized fungi (henceforth lichens), bryophytes, herbaceous plants, shrubs, and trees. Ecosystem impacts included: (1) biogeochemical responses and (2) individual species, population, and community responses. Biogeochemical responses included increased N mineralization and nitrification (and N availability for plant and microbial uptake), increased gaseous N losses (ammonia volatilization, nitrous oxide from nitrification and denitrification), and increased N leaching. Individual species, population, and community

responses included increased tissue N concentration, physiological and nutrient imbalances, altered growth, altered root:shoot ratios, increased susceptibility to secondary stresses, altered fire regimes, changes in species abundance, shifts in competitive interactions and community composition (including shifts within and across diatom, bacterial, fungal, or plant taxa groups), changes in species richness and other measures of biodiversity, and increases in invasive species.

We considered N addition, N deposition gradient, and long-term monitoring studies in order to evaluate ecosystem response to N deposition inputs. Most of these studies were not designed to quantify critical loads, which presented some challenges. We afforded greater weight to long-term fertilization studies (5–10 years) than to short-term studies. Single-dose forest fertilization studies exceeding 50 kg N/ha were generally not considered, although lower dose short-term studies were considered when other observations were limited. When N-addition studies were designed in order to determine critical loads, the studies generally included modest N additions; multiple (three or more) treatment levels with smaller increments between the treatment levels; and treatments spanning the critical load. In such cases, estimates of the critical load are made with greater certainty than for other types of N-addition studies. Nitrogen gradient studies implicitly include longer term exposure to pollutants and therefore are more likely than N manipulation studies to depict conditions that are near steady state with respect to ambient N inputs. Long-term monitoring studies sometimes offer the opportunity to observe changes over time in response to increasing or elevated N deposition inputs. We estimated critical loads based on data from >3200 sites (Fig. 2).

In general, we determined the critical load based on the observed response pattern to N inputs. In some cases, there was a clear dose–response relationship where the response changed above a certain threshold. A critical threshold is the value of a response parameter which represents an unacceptable condition. The critical threshold is also referred to as the critical limit (UBA 2004). In other cases, when response to increasing N was more linear, we estimated the “pristine” state of N deposition and the deposition that corresponded to a departure from that state. The criteria for setting critical loads are discussed in detail in Pardo et al. (2011b, c) and in the Appendix.

### *Deposition*

Total N emissions in the U.S. have increased significantly since the 1950s (Galloway 1998, Galloway et al. 2003). As S deposition has declined in response to regulation, the rate of N deposition relative to S deposition has increased since the 1980s (Driscoll et al. 2001, 2003), followed by a general decrease in NO<sub>x</sub> emissions from electric utilities since the early 2000s. More recently, the relative proportion of NH<sub>x</sub> (NH<sub>4</sub><sup>+</sup> +

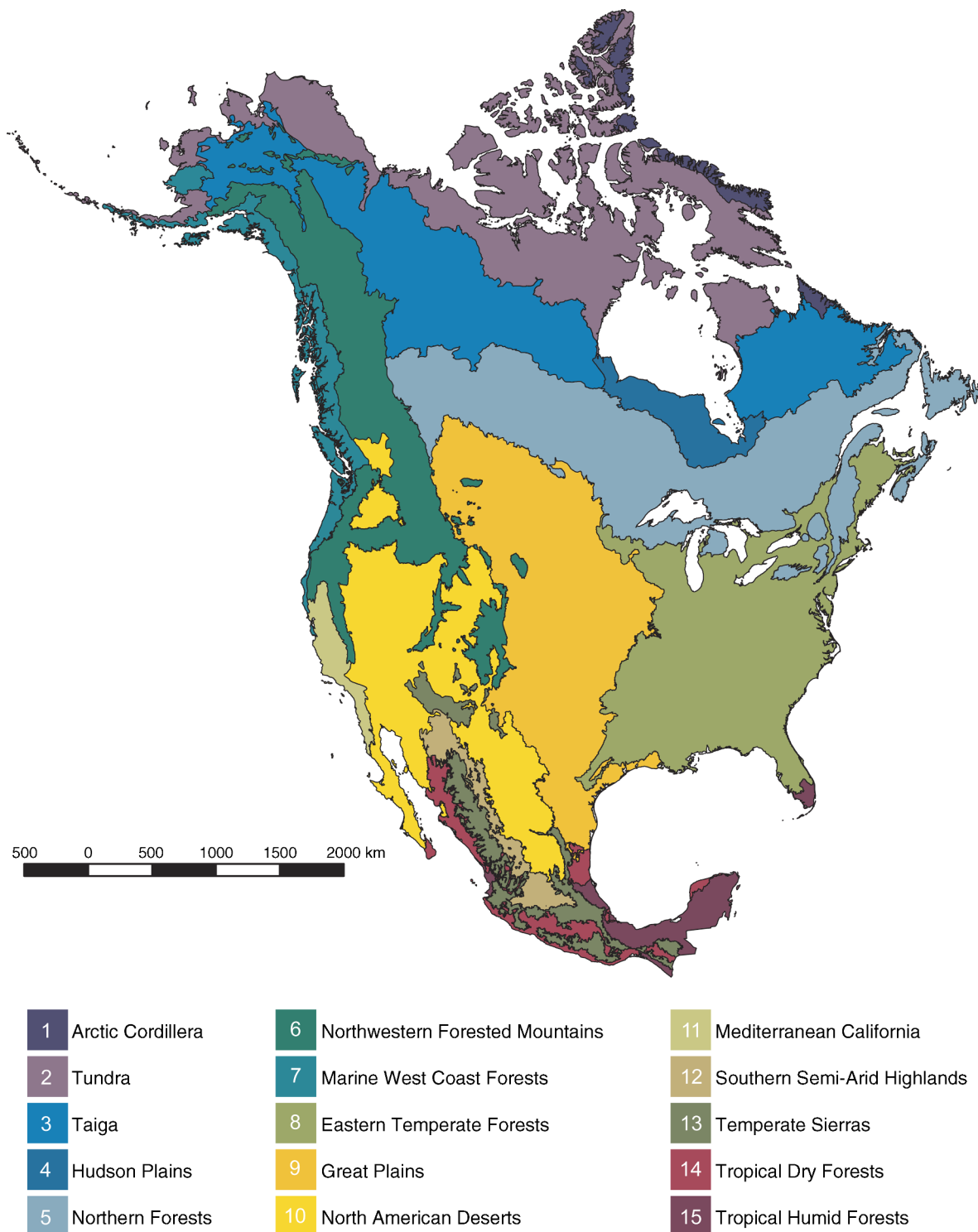


FIG. 1. Ecological regions of North America, Level I, adapted from the Commission for Environmental Cooperation (CEC 1997).

$\text{NH}_3$ ) to  $\text{NO}_x$  ( $\text{NO} + \text{NO}_2$ ) emissions has also increased for many areas of the United States (Kelly et al. 2005, Lehmann et al. 2005). Nitrogen deposition at sites included in this analysis (Weathers and Lynch 2011) was

quantified by the Community Multiscale Air Quality (CMAQ) model v.4.3 simulations of wet + dry deposition of oxidized ( $\text{NO}_y$ ) and reduced ( $\text{NH}_x$ ) N species (Fig. 2; hereafter CMAQ 2001 model; which uses

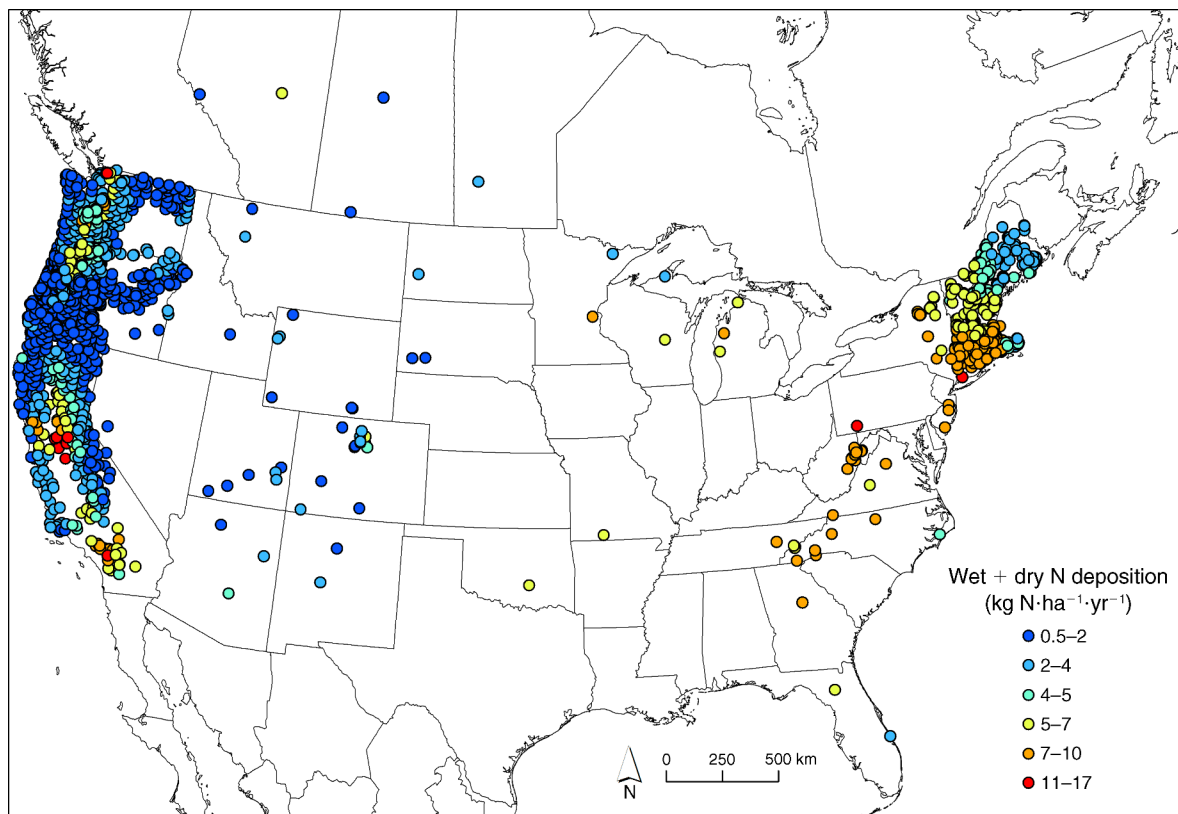


Fig. 2. Locations of the >3200 sites for which we report ecological responses to N deposition, labeled with estimates of wet + dry nitrogen (N) deposition (includes wet ammonium and nitrate, dry nitric acid, particulate nitrate and ammonium, and gaseous ammonia, but not organic forms) generated by the Community Multiscale Air Quality (CMAQ) 2001 model. In some areas of elevated N deposition, CMAQ at this grid scale (36 km) likely underestimates total N deposition. This is the case, for example, over much of California (Fenn et al. 2010).

2001 reported data; Byun and Ching 1999, Byun and Schere 2006). These CMAQ data were used to calculate exceedance. Exceedance of the critical load is defined as the current deposition minus the critical load; when exceedance is greater than zero, the ecosystem is susceptible to harmful ecological effects. Exceedance is useful in communicating the extent of risk to ecosystems under current and future deposition scenarios.

We rarely had data to distinguish biotic or ecosystem response to reduced forms vs. oxidized forms of N. There is some evidence that, for some species, reduced forms of N may have more substantial impacts than oxidized forms (Bobbink et al. 2003, Kleijn et al. 2008, Cape et al. 2009, Sutton et al. 2009). Differences in uptake rates and preference for  $\text{NH}_4^+$  vs.  $\text{NO}_3^-$  across different plant taxa (Falkengren-Grerup 1995, McKane et al. 2002, Miller and Bowman 2002, Nordin et al. 2006) lead to differences in sensitivity to  $\text{NH}_x$  (Krupa 2003) and  $\text{NO}_y$ . However, not all species are more sensitive to  $\text{NH}_x$  than  $\text{NO}_y$  (S. Jovan, *unpublished data*); these responses vary by species and functional type. Some species are more sensitive to increases in  $\text{NO}_y$ , as was demonstrated for boreal forests (Nordin et al. 2006).

In order to quantify the critical load, we generally used the deposition reported in the publication or, when that was not available, we used modeled deposition (e.g., CMAQ, ClimCalc [Ollinger et al. 1993], or National Atmospheric Deposition Program [NADP; NADP 2009]). The different forms of N deposition included in this assessment were: wet, bulk, wet+dry, throughfall, and total inorganic N deposition (wet+dry+cloud/fog). Total N deposition was considered the most appropriate value to use in evaluating ecosystem responses; however, in many studies this information is not available. Throughfall N is generally considered a good surrogate for total N deposition (Weathers et al. 2001), because it typically does not underestimate total N inputs as much as wet or bulk deposition. However, because of the potential for canopy uptake of N, throughfall is usually considered as a lower bound estimate of total N deposition. None of the studies included reported inputs of organic N, so this report focuses on responses to inputs of inorganic N.

The accuracy of the atmospheric N deposition estimates clearly influences the accuracy of the critical load and exceedance estimates. Several factors contribute to uncertainty in N deposition estimates: (1) the



difficulty of quantifying dry deposition of nitrogenous gases and particles to complex surfaces; and (2) sparse data, particularly for arid, highly heterogeneous terrain (e.g., mountains), and (3) sites with high snowfall or high cloudwater/fog deposition, where N deposition tends to be underestimated. Deposition models cannot account for these kinds of heterogeneity (e.g., Weathers et al. 2006) because the spatial scale (grid size) is typically too coarse to capture topographic and other local influences. These issues are discussed in detail elsewhere (Weathers et al. 2006, Fenn et al. 2009, Weathers and Lynch 2011). When more accurate and precise N deposition estimates become available, the data presented in this study may be reevaluated in order to refine the critical loads estimates.

## RESULTS AND DISCUSSION

The range of critical loads for nutrient N reported for the United States ecoregions, inland surface waters, and freshwater wetlands is 1–39 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> (Table 1). This broad range spans the range of N deposition observed over most of the country (see Weathers and Lynch 2011). For coastal wetlands, critical loads are between 50–400 kg N·ha<sup>-1</sup>·yr<sup>-1</sup>. The number of locations for which ecosystem response data were available (Fig. 2) for an ecoregion was variable, which impacts the level of certainty of the empirical critical loads estimates. Details on the studies upon which the critical loads values (Tables 1 and 2) are based are provided in Pardo et al. (2011c).

### *Comparison of critical load by receptor across ecoregions*

Because N deposition varies considerably by region and the critical load varies both by region and receptor, we present the critical loads and likely risk of exceedance by receptor.

#### *Mycorrhizal fungi.*—

1. *Background.*—Mycorrhizal fungi reside at the interface between host plants and soils, exchanging soil resources, especially nutrients, with host plants in exchange for photosynthates (carbon compound). Due to this important and unique ecological niche, mycorrhizal fungi are at particular risk due to changes in either the soil environment or host carbon allocation.

2. *Response to N.*—Nitrogen deposition adversely affects mycorrhizal fungi (1) by causing decreased belowground C allocation by hosts and increased N uptake and associated metabolic costs (Wallander 1995) and (2) via soil chemical changes associated with eutrophication and acidification. There are two major groups of mycorrhizal fungi that are evolutionarily and ecologically distinct: arbuscular mycorrhizal fungi (AMF) and ectomycorrhizal fungi (EMF). Under sufficiently high N inputs, the progressive effect of elevated N is an early decline of sporocarp (reproductive structure) production for EMF and spore production for AMF, and subsequent decline in biological diversity and loss of taxa adapted to N-poor environments or

sensitive to acidification (Lilleskov 2005). Sporocarp and spore production appears to be especially sensitive to N deposition, often declining before the communities on root tips have been substantially altered, presumably because sporocarps and spores are at the end of the carbon flux pathway from hosts.

Of the two plant–fungal symbioses examined here, mycorrhizal fungi (Table 3) appear to be less sensitive to N deposition than lichens (Table 4), presumably because the soil environment buffers these soil fungi from some of the immediate impacts of N deposition, to which lichens are directly exposed. Lichens have an advantage as indicators when compared with mycorrhizal fungi because they can be relatively easily inventoried. However, the critical role of mycorrhizal fungi as root symbionts central to plant nutrition and belowground production, and, in forests, as repositories of a large part of the eukaryote diversity, as major components of food webs, and as non-timber forest products of high economic value (edible sporocarps or mushrooms; Amaranthus 1998) provides sufficient impetus to improve our understanding of their response to N deposition.

3. *Critical loads.*—We reviewed empirical studies on mycorrhizal fungal response to N inputs as the basis for determining empirical critical loads for the United States (Table 3, Fig. 3a). Despite the sparse data, it is clear that N deposition sufficient to elevate inorganic N, especially NO<sub>3</sub><sup>-</sup>, availability in soils can have measurable effects on mycorrhizal fungi. The data for EMF indicate that N deposition to N-limited conifer forests in the range of 5–10 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> can significantly alter community structure and composition and decrease species richness (Lilleskov 1999, Lilleskov et al. 2001, 2002, 2008, Dighton et al. 2004). Similarly, the data for AMF suggest N deposition levels of 7.8–12 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> can lead to community changes, declines in spore abundance and root colonization, and changes in community function, based on reanalysis of data from Egerton-Warburton et al. (2001) combined with N deposition data, and decreases in fungal abundance (van Diepen et al. 2007, van Diepen 2008) and declines in fungal activity (L. M. Egerton-Warburton, *unpublished data*). The actual threshold for N effects on AMF could be even lower, because high background deposition precludes consideration of sites receiving deposition at or near preindustrial levels. Therefore, our provisional expert judgment is that critical loads for mycorrhizal diversity for sensitive ecosystem types are 5–10 kg N·ha<sup>-1</sup>·yr<sup>-1</sup>. The uncertainty of this estimate is high, because few studies have been conducted at low N deposition to further refine the critical load. The critical load of N for mycorrhizal fungi, when community change occurs, is often on the order of current N deposition, and thus, is exceeded across most of the eastern and northern forests and in regions downwind of agricultural and urban emissions in the West (Fig. 3b). The uncertainty associated with the exceedance, like that for the critical load, is high.

TABLE 1. Summary of critical loads (CL) of nutrient N for North American ecoregions.

Ecoregion	Ecosystem component	CL for N deposition (kg N·ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Tundra	prostrate dwarf shrubs	1–3	##	changes in CO <sub>2</sub> exchange, cover, foliar N, and community composition of vascular plants	N addition study, Greenland high arctic, P enhanced N effects	Arens et al. (2008)‡
Tundra	lichens	1–3	(#)	changes in lichen pigment production and ultrastructure, changes in lichen and bryophyte cover	N addition studies, high and low arctic, P enhanced or moderated N effects	Hyvärinen et al. (2003),§ Makkonen et al. (2007),§ Arens et al. (2008)‡
Taiga	forest	1–3	#	changes in alga, bryophyte, and lichen community composition, cover, tissue N, or growth rates		Poikolainen et al. (1998),§ Strengbom et al. (2003),¶ Vitt et al. (2003),   Berryman et al. (2004),   Moore et al. (2004),   Berryman and Straker (2008),   Geiser et al. (2010)
Taiga	spruce forests	5–7	(#)	change in ectomycorrhizal fungal community structure	expert judgment extrapolated from Marine West Coast spruce and northern spruce–fir forest	Lilleskov (1999), Lilleskov et al. (2001, 2002, 2008)
Taiga	shrublands	6	##	change in shrub and grass cover, increased parasitism of shrubs	long-term, low-N addition study: shrub cover decreased, grass cover increased	Strengbom et al. (2003),¶ Nordin et al. (2005)¶
Northern Forests	hardwood and coniferous forests	>3	#	decreased growth of red pine, and decreased survivorship of yellow birch, scarlet and chestnut oak, quaking aspen, and basswood		Thomas et al. (2010)
Northern Forests	lichens	4–6	(#)	epiphytic lichen community change	loss of oligotrophic species, synergistic/confounding effects of acidic deposition not considered; assumes response threshold similar to Marine West Coast Forests	Geiser et al. (2010)
Northern Forests	ectomycorrhizal fungi	5–7	#	change in fungal community structure		Lilleskov et al. (2008)
Northern Forests	herbaceous cover species	>7 and <21	#	loss of prominent species	response observed in low-level fertilization experiment	Hurd et al. (1998)
Northern Forests	hardwood and coniferous forests	8	##	increased surface water NO <sub>3</sub> <sup>-</sup> leaching		Aber et al. (2003)
Northern Forests	old-growth montane red spruce	>10 and <26	#	decreased growth and/or induced mortality	response observed in low-level fertilization experiment	McNulty et al. (2005)

TABLE 1. Continued.

Ecoregion	Ecosystem component	CL for N deposition (kg N·ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Northern Forests	AMF	<12	(#)	biomass decline and community composition change		van Diepen et al. (2007), van Diepen (2008)
Northwestern Forested Mountains	alpine lakes	1.5	##	changes in diatom assemblages	as wet deposition only	Baron (2006)
Northwestern Forested Mountains	lichens	1.2–3.7	(#)	epiphytic lichen community change in mixed-conifer forests, Alaska	application of western Oregon and Washington model	Geiser et al. (2010)
Northwestern Forested Mountains	lichens	2.5–7.1	##	epiphytic lichen community change, thallus N enrichment in mixed-conifer forests, non-Alaska		Fenn et al. (2008), Geiser et al. (2010)
Northwestern Forested Mountains	subalpine forest	4	##	increase in organic horizon N, foliar N, potential net N mineralization, and soil solution N, initial increases in N leaching below the organic layer		Baron et al. (1994), Rueth and Baron (2002)
Northwestern Forested Mountains	alpine lakes	4.0	#	episodic freshwater acidification		Williams and Tonnesson (2000)
Northwestern Forested Mountains	alpine grassland	4–10	##	changes in plant species composition		Bowman et al. (2006)
Northwestern Forested Mountains	ectomy-corrhizal fungi	5–10	(#)	changes in ectomycorrhizal fungi community structure in white, black, and Engelmann spruce forests	expert judgment extrapolated from Marine West Coast spruce and northern spruce–fir forest	Lilleskov (1999), Lilleskov et al. (2001, 2002, 2008)
Northwestern Forested Mountains	mixed-conifer forest	17	## #	NO <sub>3</sub> <sup>-</sup> leaching, reduced fine-root biomass		Fenn et al. (2008)
Marine West Coast Forests	western Oregon and Washington forests	2.7–9.2	##	epiphytic lichen community change	loss of oligotrophic species, enhancement of eutrophic species, CL increases with regional range in mean annual precipitation from 45 to 450 cm	Geiser et al. (2010)
Marine West Coast Forests	southeastern Alaska forests	5	(#)	fungal community change, declines in ectomycorrhizal fungal diversity		Whytemare et al. (1997), Lilleskov (1999), Lilleskov et al. (2001, 2002)
Eastern Temperate Forest	eastern hardwood forest	>3	#	decreased growth of red pine, and decreased survivorship of yellow birch, scarlet and chestnut oak, quaking aspen, and basswood		Thomas et al. (2010)



TABLE 1. Continued.

Ecoregion	Ecosystem component	CL for N deposition (kg N·ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Eastern Temperate Forest	lichens	4–8	(#)	epiphytic lichen community change	loss of oligotrophic species, synergistic/confounding effects of acidic deposition not considered; based on application of model and estimated response threshold	Geiser et al. (2010)
Eastern Temperate Forest	Southeastern Coastal Plain	5–10	(#)	ectomycorrhizal fungi community change		Lilleskov et al. (2001, 2002, 2008), Dighton et al. (2004)
Eastern Temperate Forest	eastern hardwood forests	8	##	increased surface water NO <sub>3</sub> <sup>-</sup> leaching		Aber et al. (2003)
Eastern Temperate Forest	Michigan deposition gradient	<12	(#)	AMF biomass decline and community composition change		van Diepen et al. (2007), van Diepen (2008)
Eastern Temperate Forest	herbaceous species	<17.5	(#)	increases in nitrophilic species, declines in species-rich genera (e.g., <i>Viola</i> )		Gilliam (2006, 2007), Gilliam et al. (2006)
Great Plains	tallgrass prairie	5–15	#	biogeochemical N cycling, plant and insect community shifts		Tilman (1987, 1993), Wedin and Tilman (1996), Clark and Tilman (2008), Clark et al. (2009)
Great Plains	mixed-grass prairie	10–25	#	soil NO <sub>3</sub> <sup>-</sup> pools, leaching, plant community shifts		Clark et al. (2003, 2005), Jorgensen et al. (2005)
Great Plains	short-grass prairie	10–25	(#)		inferred from mixed-grass prairie	Epstein et al. (2001), Barret and Burke (2002)
Great Plains	mycorrhizal fungi	12	(#)	decline in arbuscular mycorrhizal fungal activity		L. M. Egerton-Warburton, unpublished data
North American Desert	lichens	3	(#)	lichen community shifts, thallus N concentration	uncertainty regarding modeled deposition estimates	Porter (2007), Geiser et al. (2008)
North American Desert	shrubland, woodland, desert grassland	3–8.4	#	vegetation response, vascular plant community change		Inouye (2006), Baez et al. (2007), Allen et al. (2009), Rao et al. (2010)
Mediterranean California	coastal sage scrub	7.8–10	#	invasive grass cover, native forb richness, AMF richness	modeled and inferential N deposition estimates and published data for mycorrhizae; unpublished data for vegetation survey	Egerton-Warburton and Allen (2000), Tonnesen et al. (2007), Fenn et al. (2010)
Mediterranean California	chaparral; lichens	3–6	#	epiphytic lichen community change	lichen CL from modeled N deposition data and published data for lichens	Jovan and McCune (2005), Jovan (2008), Fenn et al. (2010), Geiser et al. (2010)

TABLE 1. Continued.

Ecoregion	Ecosystem component	CL for N deposition (kg N·ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Mediterranean California	chaparral, oak woodlands, Central Valley	10–14	#	NO <sub>3</sub> <sup>-</sup> leaching, stimulated N cycling	CL for NO <sub>3</sub> <sup>-</sup> leaching of 10 kg N·ha <sup>-1</sup> ·yr <sup>-1</sup> based on one year of throughfall data in Chamise Creek and an additional year of throughfall data from adjacent Ash Mountain, both in Sequoia National Park	Fenn and Poth (1999), Fenn et al. (2003a, b, c, 2010, 2011), Meixner and Fenn (2004)
Mediterranean California	mixed-conifer forest, lichens	3.1–5.2	##	lichen chemistry and community changes	lowest CL based on lichen tissue chemistry above the clean site threshold	Fenn et al. (2008, 2010)
Mediterranean California	mixed-conifer forest	17	#	reduced fine-root biomass		Grulke et al. (1998), Fenn et al. (2008, 2010)
Mediterranean California	mixed-conifer forest	17–25.9	#	NO <sub>3</sub> <sup>-</sup> leaching, soil acidification		Breiner et al. (2007), Fenn et al. (2008, 2010)
Mediterranean California	mixed-conifer forest	24–39	(#)	understory biodiversity; forest sustainability	N deposition from Fenn et al. 2008	Grulke et al. (1998, 2009), Grulke and Balduman (1999), Jones et al. (2004), Allen et al. (2007)
Mediterranean California	serpentine grassland	6	##	annual grass invasion, replacing native herbs	CL based on a local roadside gradient; serpentine grassland site is actually west of the Central Valley	Weiss (1999), Fenn et al. (2010)
Temperate Sierras	lichens	4–7	(#)	epiphytic lichen community change	increase in proportion of eutrophic species. Estimated from MWCF model, response threshold allows ~60% eutrophs due to dry, hot climate, hardwood influence	Geiser et al. (2010)
Temperate Sierras	<i>Pinus</i> forest	15	#	elevated NO <sub>3</sub> <sup>-</sup> in stream and spring waters	data from <i>Pinus hartwegii</i> sites in the Desierto de los Leones National Park and Ajusco, Mexico	Fenn et al. (1999, 2002), Fenn and Geiser (2011)
Tropical and Subtropical Humid Forests	N-rich forests	<5–10	(#)	NO <sub>3</sub> <sup>-</sup> leaching, N trace gas emissions	CL for N-rich forests should be lower than for N-poor forests based on possibility of N losses	ND
Tropical and Subtropical Humid Forests	N-poor forests	5–10	(#)	changes in community composition, NO <sub>3</sub> <sup>-</sup> leaching, N trace gas emissions	CL for N-poor forests based on estimates for Southeastern Coastal Plain forests	ND

TABLE 1. Continued.

Ecoregion	Ecosystem component	CL for N deposition (kg N·ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability	Response	Comments	Study
Wetlands	freshwater wetlands	2.7–13	#	peat accumulation and NPP change	CL for wetlands in the northeastern USA and southeastern Canada	Rocheftort et al. (1990), Aldous (2002), Vitt et al. (2003), Moore et al. (2004)
Wetlands	freshwater wetlands	6.8–14	(#)	pitcher plant community change	CL based on northeastern populations	Gotelli and Ellison (2002, 2006)
Wetlands	intertidal wetlands	50–100	##	loss of eelgrass		Latimer and Rego (2010)
Wetlands	intertidal salt marshes	63–400	(#)	changes in salt marsh community structure, microbial activity, and biogeochemistry		Wigand et al. (2003), Caffrey et al. (2007)
Aquatic	western lakes	2	##	freshwater eutrophication		Baron (2006)
Aquatic	eastern lakes	8	#	NO <sub>3</sub> <sup>-</sup> leaching		Aber et al. (2003)

Note: Key to abbreviations: ND, no data; AMF, arbuscular mycorrhizal fungi; MWCF, Marine West Coast Forests; and NPP, net primary productivity.

† Key: ##, reliable; #, fairly reliable; (#), expert judgment.

‡ Based on data from Greenland.

§ Based on data from Finland.

¶ Based on data from Sweden.

|| Based on data from Canada.

#### Lichens and bryophytes.—

1. *Background*.—Lichens and bryophytes make substantial contributions to biodiversity. About 4100 lichens and 2300 bryophytes are known from North America north of Mexico: approximately one-fourth of the value for vascular plant diversity, ~26 600 species (USDA, NRCS 2009).

2. *Responses to N*.—Lichens and bryophytes are among the most sensitive bioindicators of N in terrestrial ecosystems (Blett et al. 2003, Bobbink et al. 2003, Fenn et al. 2003a, 2010, Glavich and Geiser 2008). Unlike vascular plants, lichens and bryophytes lack specialized tissues to mediate the entry or loss of water and gases (e.g., waxy epidermis, guard cells, root steele). Thus, they rapidly hydrate and absorb gases, water, and dissolved nutrients during high humidity or precipitation events. However, they dehydrate to a metabolically inactive state quickly as well, making them slow growing and vulnerable to contaminant accumulation. Consequently, the implementation of lichen- or bryophyte-derived critical loads may prevent undesired impacts to much of the broader forest ecosystem, including biological diversity (McCune et al. 2007).

Lichens and bryophytes can play important roles in ecosystems. Species of epiphytic lichens in wet and mesic forests that are most sensitive to N (i.e., the large pendant and foliose species) play important ecological roles that are not duplicated by the nitrophytic (i.e., N tolerant) species that may replace them. Dominant regional oligotrophs (e.g., *Alectoria*, *Bryoria*, *Lobaria*, *Ramalina*, *Usnea*) comprise the bulk of lichen biomass in

old-growth forests, contribute to nutrient cycling through N<sub>2</sub> fixation, and are used for nesting material, essential winter forage for rodents and ungulates, and invertebrate habitat (McCune and Geiser 2009). Storage of water and atmospheric nutrients by these lichen genera and epiphytic bryophytes moderates humidity and provides a slow-release system of essential plant nutrients to the soil (Boonpragob et al. 1989, Knops et al. 1991, Pypker 2004, Cornelissen et al. 2007). In the tundra, lichens and bryophytes represent a significant portion of the biomass, and reindeer lichens are a vital link in the short arctic food chain (Kytöviita and Crittenden 2007). Mosses comprise the bulk of the biomass of the extensive boreal peatlands. In the desert, together with other microbiota, lichens and bryophytes form cryptogamic mats important to soil stabilization and fertility.

3. *Critical loads*.—The critical loads estimated (Pardo et al. 2011c) for lichens range from 1 to 9 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> (Table 4, Fig. 4a). Although the reported range of critical loads is not as large as the ranges for forests or herbs, the certainty associated with these estimates for lichens varies considerably by ecoregion. This is partially because of differences in sampling scheme and intensity. For example, in the Pacific Northwest, lichen communities were assessed intensively across wide environmental gradients spanning low to high N deposition on a fine grid over time, yielding highly reliable critical N load estimates (Geiser and Neitlich 2007, Jovan 2008), whereas assessments in the eastern United States are more problematic due to historical and contemporary S

TABLE 2. Assessment and interpretation of empirical critical loads (CL) of nutrient N for North American ecoregions.

Ecoregion	Factors affecting the range of CL†	Comparison within ecoregion‡
Tundra	(1) moisture; (2) competition between vascular plants and cryptogams; (3) P-limitation; (4) temperature; (5) pH	The CL is higher in wet and P-limited tundra; acidic tundra may be more sensitive to N deposition than nonacidic tundra. Increased N deposition may be more detrimental to lichens in the presence of graminoids and shrubs in the low and mid arctic than to lichens with less competition in the high arctic. Response time increases with latitude due to colder temperatures, less light, and poorer N and P mobilization.
Taiga	(1) soil depth; (2) vegetation type and species composition; (3) latitude	Morphological damage to lichens has been observed at a lower deposition in forests and woodlands than in shrublands or bogs and fens; cryptogam dominated mats on thin soils become N saturated faster than forest islands.
Northern Forests	(1) receptor; (2) tree species; (3) stand age; (4) site history; (5) preexisting N status	CLs for lichen are generally lowest, followed by CLs for ectomycorrhizal fungi and $\text{NO}_3^-$ leaching. CLs for herbaceous species and forests are generally higher than for other responses.
Northwestern Forested Mountains	(1) biotic receptor; (2) accumulated load of N; (3) ecosystem; (4) region	In alpine regions, diatom changes in lakes are seen at the lowest CL. Changes in individual plants are seen next, followed by vegetation community change, then soil responses. In subalpine forests, the CL of $4 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ for foliar and soil chemistry changes is similar to the lichen CL of 3.1–5.2 for lichen community change.
Marine West Coast Forests	(1) background N status; (2) soil type; (3) species composition; (4) fire history; (5) climate	The midrange of responses reported for lichens ( $2.7\text{--}9.2 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ ) is broadly comparable to that for plant, soil, and mycorrhizal responses ( $5 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ ), despite limited studies for non-lichen responses.
Eastern Forests	(1) precipitation; (2) soil cation fertility and weathering; (3) biotic receptors	The CL for $\text{NO}_3^-$ leaching, lichen community change, and ectomycorrhizal fungal response are within the same range. Arbuscular mycorrhizal fungal and herbaceous CLs are higher.
Great Plains	(1) N status; (2) receptor; (3) precipitation	CLs are lower in the tall grass prairie than in the mixed- and short-grass prairies. CL in tall- and mixed-grass prairie is lower on N poor sites and sites with very N-responsive plant species. CL in the short-grass prairie is likely lower in wet years than in dry years.
North American Deserts	(1) receptor; (2) interaction of annual grasses with native forb cover; (3) precipitation	The lichen CL is lowest, at $3 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ ; vegetation CL varies from 3 to $8.4 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ .
Mediterranean California	(1) presence of invasive exotic annual grasses interacting with a highly diverse native forb community; (2) N sensitivity of mycorrhizal fungi; (3) N sensitivity of lichens; (4) N retention capacity of catchments, catchment size; (5) co-occurrence of ozone and ozone-sensitive tree species	The lowest CLs in Mediterranean California are for sensitive lichen in chaparral and oak woodlands and mixed conifer forests. The CL for plant and mycorrhizal fungal community change in coastal sage scrub is higher, at $7.8$ to $10 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ . CL for $\text{NO}_3^-$ leaching is lower in chaparral and oak woodlands ( $10\text{--}14 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ ) than in mixed conifer forests ( $17 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ ). CLs are highest for mixed conifer forest plant community change and sustainability. Fine-root biomass in ponderosa pine is reduced by both ozone and elevated soil N.
Wetlands	(1) vegetation species; (2) the fraction of rainfall in the total water budget; (3) the degree of openness of N cycling	CL is much higher for intertidal wetlands ( $50\text{--}400 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ ) than for freshwater wetlands ( $2.7\text{--}14 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ ), which have relatively closed water and N cycles.
Freshwaters	(1) extent of upstream vegetation development; (2) topographic relief; (3) land use/deposition history	CLs are lower in western mountain lakes/streams with poorly vegetated watersheds and steep catchments. CLs are greater in eastern lakes with prior land use and decades of acidic deposition.

† This explains what factors cause the critical load (CL) to be at the low or high end of the range reported.

‡ Comparison of values and causes for differences if multiple critical loads are reported for an ecoregion.

TABLE 3. Empirical critical loads (CL) of nutrient N for mycorrhizal fungi in U.S. ecoregions.

Ecoregion	Ecosystem (site)	CL for N deposition (kg N·ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Taiga	spruce forests	5–7	(#)	ectomycorrhizal fungi, change in community structure	expert judgment extrapolated from Marine West Coast spruce and northern spruce–fir forest	Lilleskov (1999), Lilleskov et al. (2001, 2002, 2008)
Northern Forests	spruce–fir forest (northeastern U.S. deposition gradient)	5–7	#	ectomycorrhizal fungi, change in morphotype community structure	wet deposition estimated from Ollinger et al. (1993) model	Lilleskov et al. (2008)
Northern Forests	northern hardwood forests, sugar maple dominated (Michigan gradient)	<12	(#)	AMF, decrease in abundance in roots, soil, community change	N fertilization experiment	van Diepen et al. (2007), van Diepen (2008)
Northwestern Forested Mountains	Engelmann spruce forests	5–10	(#)	ectomycorrhizal fungi, change in community structure	expert judgment extrapolated from Marine West Coast spruce and northern spruce–fir forest	Lilleskov (1999), Lilleskov et al. (2001, 2002, 2008)
Marine West Coast Forests	white spruce forest (Kenai Peninsula, Alaska)	5	(#)	ectomycorrhizal fungi, change in community structure, decrease in species richness	bulk deposition; historic N deposition was higher but unquantified; CL estimated from regression	Whytemare et al. (1997), Lilleskov (1999), Lilleskov et al. (2001, 2002)
Eastern Temperate Forests	Southeastern Coastal Plain	5–10	(#)	ectomycorrhizal fungi, change in community structure	from one study in pine barrens plus extrapolation from other oligotrophic conifer forests	Lilleskov et al. (2001, 2002, 2008), Dighton et al. (2004)
Eastern Temperate Forests	Pine Barrens (New Jersey, Southeastern Coastal Plain)	<8	(#)	ectomycorrhizal fungal morphotype community change	bulk deposition, gradient study with three sample points	Dighton et al. (2004)
Eastern Temperate Forests	eastern hardwoods, sugar maple dominated (Michigan gradient)	<12	(#)	AMF; decrease in abundance in roots, soil, community change	long-term (12 yr) N fertilization experiment in sugar maple	van Diepen et al. (2007), van Diepen (2008)
Great Plains	Chicago grassland	12	(#)	AMF; decrease in % colonization, spore density	CL estimated from logarithmic curve of soil N vs. AMF activity; no low N baseline, so CL may be lower	L. M. Egerton-Warburton, unpublished data
Mediterranean California	coastal sage scrub (southern California)	7.8–9.2	#	AMF, decrease in % colonization, spore density, spore richness	CL estimated from logarithmic curve fitted to data from this study compared to modeled and inferential N deposition data	Egerton-Warburton and Allen (2000), Tonnesen et al. (2007)

Note: AMF stands for arbuscular mycorrhizal fungi.

† Key: #, fairly reliable; (#), expert judgment.



TABLE 4. Empirical critical loads (CL) of nutrient N for lichens in U.S. ecoregions.

Ecoregion	Ecosystem (site)	CL for N deposition (kg N·ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Tundra	tundra	1–3	(#)	changes in lichen pigment production and ultrastructure, changes in lichen and bryophyte cover	N addition studies, high and low arctic, P enhanced N effects	Hyvärinen et al. (2003),‡ Makkonen et al. (2007),‡ Arens et al. (2008)§
Taiga	taiga	1–3	#	changes in alga, bryophyte, and lichen community composition, cover, tissue N or growth rates	application of western Oregon and Washington model using estimated response thresholds	Poikolainen et al. (1998),‡ Strengbom et al. (2003),¶ Vitt et al. (2003),   Berryman et al. (2004),   Moore et al. (2004),   Berryman and Straker (2008),   Geiser et al. (2010)
Northern Forests	northern forests	4–6	(#)	changes in lichen physiology and community structure	application of western Oregon and Washington model using estimated response thresholds	Geiser et al. (2010)
Northwestern Forested Mountains	coniferous forests, Alaska	1.2–3.7	(#)	lichen community composition	application of western Oregon and Washington model using estimated response thresholds	Geiser et al. (2010)
Northwestern Forested Mountains	coniferous forests, non-Alaska	2.5–7.1	##	lichen community composition	application of western Oregon and Washington model	Geiser et al. (2010)
Northwestern Forested Mountains	Central Southern Sierras	3.1–5.2	##	shifts in epiphytic lichen communities favoring eutrophs	lowest CL based on exceedance of a N concentration threshold in the lichen <i>Letharia vulpina</i>	Fenn et al. (2008, 2010)
Marine West Coast Forests	western Oregon and Washington forests	2.7–9.2	##	shifts in epiphytic lichen communities favoring eutrophs	CL increases with increasing mean annual precipitation from 40 to 240 cm	Geiser et al. (2010)
Eastern Forests	eastern hardwoods and Southeastern Coastal Plain	4–8	(#)	shifts in epiphytic lichen communities favoring eutrophs	application of western Oregon and Washington model using estimated response thresholds	Geiser et al. (2010)
		4–6	(#)			
North American Deserts	cold desert (Hells Canyon National Resource Area)	3	(#)	increased cover and abundance of nitrophilous lichens on tall shrubs, increased parasitism of lichens	CL estimated from overlay of course grid (36 km) CMAQ N, local N deposition from NH <sub>3</sub> was likely higher	Porter (2007), Geiser et al. (2008)

TABLE 4. Continued.

Ecoregion	Ecosystem (site)	CL for N deposition (kg N·ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Mediterranean California	oak woodlands and chaparral (Central Valley; Sacramento Valley, Coast Ranges, and Sierra foothills)	3–6	#	shifts in epiphytic lichen communities favoring eutrophs	application of western Oregon and Washington model using response thresholds based on FHM data	Jovan and McCune (2005), Jovan (2008), Geiser et al. (2010)
Mediterranean California	mixed-conifer forest (Sierra Nevada)	3.1–5.2	##	shifts in epiphytic lichen communities favoring eutrophs	extrapolated from Northwestern Forested Mountains Sierra Nevada study	Fenn et al. (2008)
Temperate Sierras	lichens	4–7	(#)	shifts in epiphytic lichen communities favoring eutrophs	application of western Oregon and Washington model using estimated response thresholds	Geiser et al. (2010)

Note: Abbreviations are: CMAQ, Community Multiscale Air Quality; FHM, forest health monitoring.

† Key: ##, reliable; #, fairly reliable; (#), expert judgment.

‡ Based on data from Finland.

§ Based on data from Greenland.

¶ Based on data from Sweden.

|| Based on data from Canada.

and N deposition. In such cases, where historical information necessary to identify a “pristine” or “clean” state is lacking, it is more difficult to determine the critical load, and the resulting confidence associated with the critical load is low. The critical load of N for lichens, based on the shift in community composition favoring eutrophs over oligotrophs, is on the order of current N deposition, and thus is exceeded across most of the Eastern and Northern Forests ecoregions and in many areas downwind of agricultural and urban emissions or at high elevation in the West (Fig. 4b). The uncertainty associated with the exceedance, like that for the critical load, is low for the Marine West Coast Forests, Northwestern Forested Mountains ecoregions, and Mediterranean California forest, but high elsewhere.

Studies in the Pacific Northwest demonstrate that increasing precipitation allows lichens to tolerate higher N deposition (Geiser and Neitlich 2007, Jovan 2008, Geiser et al. 2010), probably because the concentrations of N compounds to which lichens are exposed are more important than total loading. If such simple models could be tested and confirmed in other regions of the country, the confidence in the critical loads in those regions would improve.

#### *Herbaceous species and shrubs.*—

1. *Background.*—Herbaceous species and shrubs (Table 5, Fig. 5) are found in grasslands, shrublands, forests, deserts, and wetlands, and comprise the majority of the roughly 26600 vascular plant species

found in North America north of Mexico (USDA, NRCS 2009).

2. *Response to N.*—Herbaceous species and some shrubs appear intermediate between cryptogam and tree species in their sensitivity to N deposition (due to specialized tissues that mediate the entry or loss of water and gases compared with cryptogams) and rapid growth rates, shallow rooting systems, and often shorter life span compared with trees. Thus, herbaceous species in a forest understory will likely respond more rapidly to changes in N deposition and to a greater degree than the trees with which they coexist. Herbaceous species in alpine or tundra environments will respond later and to a lesser degree than the cryptogams with which they coexist. Herbaceous plants clearly play an important role in those ecosystems in which they are the dominant primary producers (e.g., grasslands, shrublands). In forests, however, the role of the herbaceous community in ecosystem function has a significance that is disproportionate to its low relative biomass. For example, although they represent only ~0.2% of standing aboveground biomass, herbaceous understory species produce >15% of forest litter biomass and comprise up to 90% of forest plant biodiversity, including endangered or threatened species (Gilliam 2007).

3. *Critical loads.*—The range of critical loads for N for herbaceous species and shrubs across all ecoregions is 3–33 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> (Table 5, Fig. 5). Although this range is broader than those for lichens or mycorrhizal fungi, many of the critical loads for herbaceous species fall into

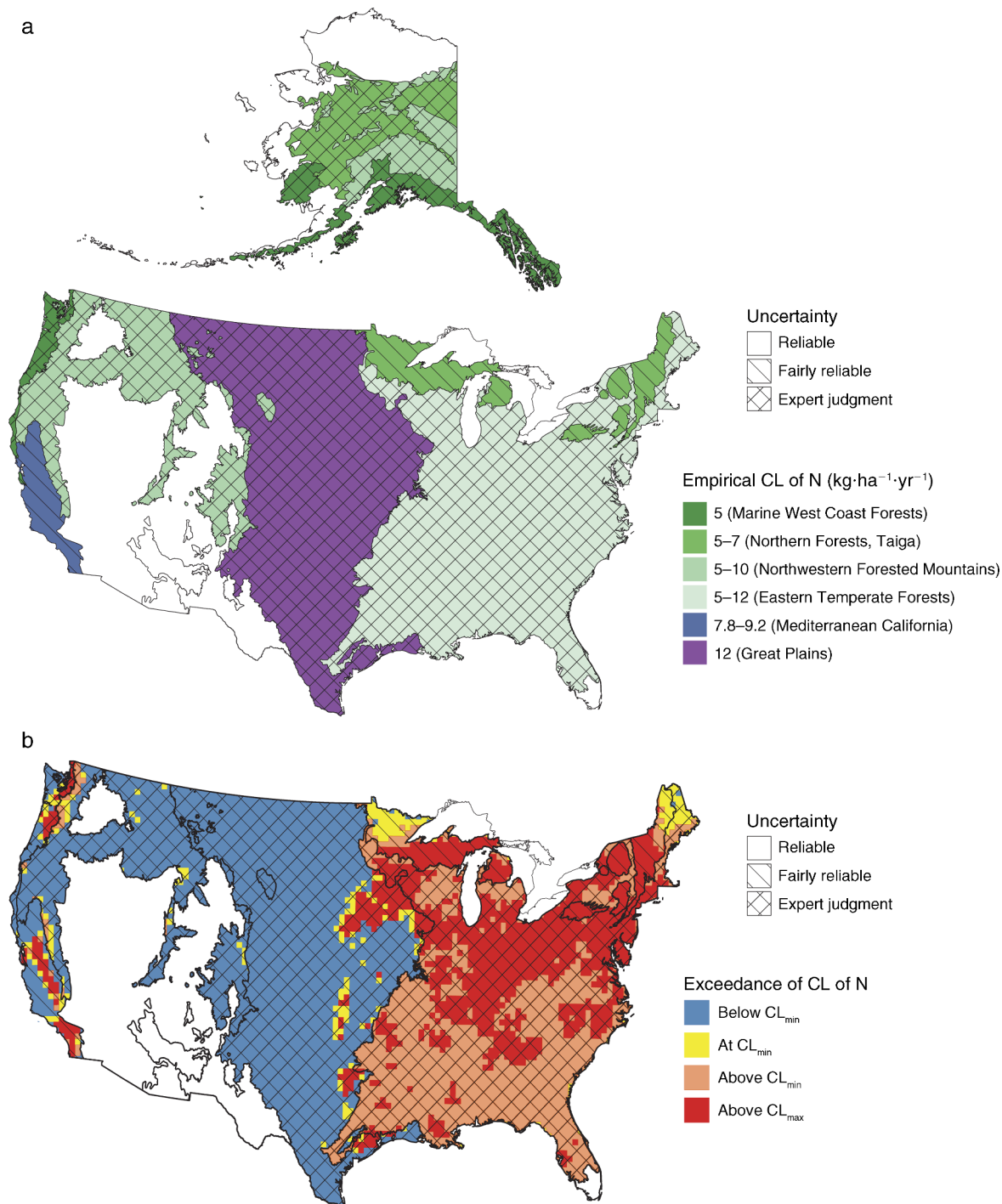


FIG. 3. Map of (a) critical loads (CL) and (b) exceedances of N for mycorrhizal fungi by ecoregion in the United States. (a) The range of critical loads reported for mycorrhizal fungi is shown for each ecoregion. The hatch marks indicate increasing level of uncertainty: no hatch marks for the most certain “reliable” category, single hatching for the “fairly reliable” category, and cross-hatching for the “expert judgment” category. The color sequence moves from red toward blue and violet as the critical load increases. As the range of the critical load gets broader, the saturation of the color decreases. (b) Exceedance (critical load – deposition) is shown for several categories: (1) no exceedance (Below  $\text{CL}_{\min}$ ), when deposition is lower than the CL range, (2) at  $\text{CL}_{\min}$ , when deposition is within  $\pm 1$  of the CL range, (3) above  $\text{CL}_{\min}$ , when deposition is above the lower end of the CL range, but lower than the upper end of the range, (4) above  $\text{CL}_{\max}$ , when deposition is above the upper end of the CL range. CMAQ deposition data were not available for Alaska, so we were not able to calculate exceedance for Alaska.

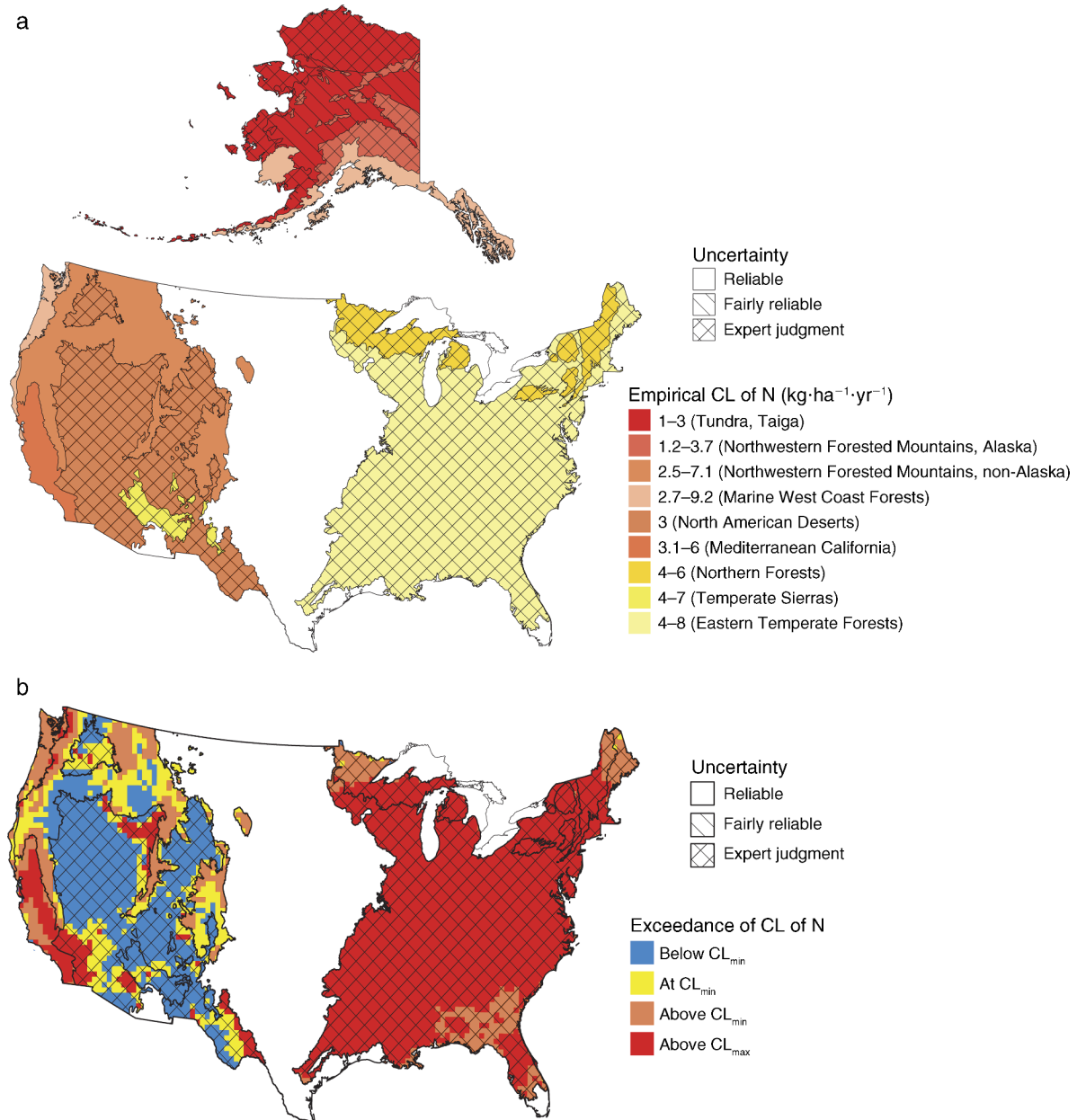


FIG. 4. Map of (a) critical loads (CL) and (b) exceedances of N for lichens by ecoregion in the United States. (a) The range of critical loads reported for lichens is shown for each ecoregion. The hatch marks indicate increasing level of uncertainty: no hatch marks for the most certain “reliable” category, single hatching for the “fairly reliable” category, and cross-hatching for the “expert judgment” category. The color sequence moves from red toward blue and violet as the critical load increases. As the range of the critical load gets broader, the saturation of the color decreases. (b) Exceedance (critical load – deposition) is shown for several categories: (1) no exceedance (below  $\text{CL}_{\text{min}}$ ), when deposition is lower than the CL range, (2) at  $\text{CL}_{\text{min}}$ , when deposition is within  $\pm 1$  of the CL range, (3) above  $\text{CL}_{\text{min}}$ , when deposition is above the lower end of the CL range, but lower than the upper end of the range, (4) above  $\text{CL}_{\text{max}}$ , when deposition is above the upper end of the CL range. CMAQ deposition data were not available for Alaska, so we were not able to calculate exceedance for Alaska.

the range of  $5\text{--}15 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ . The uncertainty of these estimates is moderate. The shorter life span of some herbaceous species can result in a more rapid response to N addition. This is especially relevant for perennials with little N storage or annuals. In grasslands, for example, elevated N deposition often leads to a rapid (1–10 years)

increase in herbaceous production and a shift in biomass allocation toward more aboveground tissue. This often decreases light levels at ground surface and decreases the numbers of plant species, primarily of perennials, legumes, and natives (Tilman 1993, Suding et al. 2004, Clark and Tilman 2008).

TABLE 5. Empirical critical loads (CL) of nutrient N for herbaceous plants and shrubs in U.S. ecoregions.

Ecoregion	Ecosystem (site)	CL for N (kg N· ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Tundra	prostrate dwarf shrub	1–3	##	changes in CO <sub>2</sub> exchange, cover, foliar N, and community composition of vascular plants	N addition study, Greenland high arctic, P enhanced N effects	Arens et al. (2008)‡
Taiga	shrublands	6	##	change in shrub and grass cover, increased parasitism of shrubs	long-term, low-N addition study: shrub cover decreased, grass cover increased	Strengbom et al. (2003),§ Nordin et al. (2005)§
Northern forests	northern hardwood forests (Adirondacks)	> 7 and <21	#	alteration of herbaceous understory		Hurd et al. (1998)
Northwestern Forested Mountains	alpine grasslands	4–10	##	plant species composition change	based on long-term experiment	Bowman et al. (2006)
Eastern Forests	eastern hardwood forests (Fernow Experimental Forest, West Virginia)	<17.5	(#)	increases in nitrophilic species, declines in species-rich genera (e.g., <i>Viola</i> )		Gilliam (2006, 2007), Gilliam et al. (2006)
Great Plains	tallgrass prairie	5–15	#	biogeochemical N cycling, plant and insect community shifts	long-term, low-N addition study that also added other nutrients	Tilman (1987, 1993), Wedin and Tilman (1996), Clark and Tilman (2008), Clark et al. (2009)
Great Plains	mixed-grass prairie	10–25	#	soil NO <sub>3</sub> <sup>-</sup> pools, leaching, plant community shifts	short-term, low-N addition study	Clark et al. (2003, 2005), Jorgensen et al. (2005)
Great Plains	short-grass prairie	10–25	(#)		inferred from mixed-grass prairie	Epstein et al. (2001), Barrett and Burke (2002)
North American Desert	warm desert (Joshua Tree National Park, Mojave Desert)	3–8.4	#	increased biomass of invasive grasses; decrease of native forbs		Allen et al. (2009), Rao et al. (2010)
Mediterranean California	serpentine grassland	6	##	annual grass invasion, replacing native herbs	CL based on a local roadside gradient; serpentine grassland site is actually west of the Central Valley	Weiss (1999), Fenn et al. (2010)
Mediterranean California	coastal sage scrub	7.8–10	#	changes in invasive grass cover, native forb richness	modeled and inferential N deposition estimates and unpublished data for vegetation survey	Egerton-Warburton et al. (2001), Tonnesen et al. (2007), Fenn et al. (2010, 2011)
Mediterranean California	mixed-conifer forests (San Bernardino Mountains)	24–33	(#)	changes in biodiversity of understory: percent cover and no. of species/3 ha	Based on plant surveys in 1970s and 2003	Allen et al. (2007); N deposition data from Fenn et al. (2008); M. E. Fenn, unpublished data
Wetlands	freshwater wetlands	6.8–14	(#)	pitcher plant community change	CL based on northeastern populations	Gotelli and Ellison (2002, 2006)



TABLE 5. Continued.

Ecoregion	Ecosystem (site)	CL for N (kg N· ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Wetlands	intertidal wetlands	50–100	##	loss of eelgrass		Latimer and Rego (2010)
Wetlands	intertidal salt marsh	63–400	(#)	changes in salt marsh community structure, microbial activity and biogeochemistry		Caffrey et al. (2007), Wigand et al. (2003)

† Key: ##, reliable; #, fairly reliable; (#), expert judgment.

‡ Based on data from Greenland.

§ Based on data from Sweden.

As a result of this relatively rapid response, experimental studies of moderate to long duration (3–10 years) allow determination of the critical load with reasonable certainty. Longer studies (>10 years) would decrease the uncertainty further. In some cases, it can be difficult to determine whether the condition in reference plots or at the low end of a deposition gradient represents a “pristine” condition or whether a site has already been altered by N deposition prior to or at the time of the study. For example, the Watershed Acidification Study at Fernow Experimental Forest, West Virginia, added 35 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> via aerial application in addition to ambient deposition of 15–20 kg N·ha<sup>-1</sup>·yr<sup>-1</sup>, which has led to changes in understory species composition (Adams et al. 2006). Recently, similar changes in understory species composition have occurred on the adjacent reference watershed receiving only ambient atmospheric deposition (Gilliam et al. 1996; F. S. Gilliam, *unpublished data*) suggesting that the deposition to the reference watershed currently exceeds the critical load. Where deposition rates exceed the critical load, empirical measurement of the rate of change of an ecological metric (e.g., plant abundance, diversity, or community composition) over a range of N inputs provides an approach to estimate the N level at which that metric begins to change further (Bowman et al. 2006), but it is difficult to determine the critical load.

The critical load of N for herbaceous species and herbs, when community change occurs (in some cases with invasives replacing native species), is exceeded across much of the Great Plains and in portions of the Southwest and in high-elevation and high-deposition areas of the other ecoregions (Fig. 5b). The uncertainty associated with the exceedance, like that for the critical load, varies.

#### *Trees/forest ecosystems.*—

1. *Background.*—In this section, we discuss the responses of trees and the overall biogeochemical responses of forest ecosystems to N inputs (Table 6), excluding the specific responses of mycorrhizal fungi, lichens, or understory herbaceous plants. Forest ecosystems represent about one-third of land cover in the

United States (USDA Forest Service 2001) and are significant in Northern, Eastern, Tropical Wet, and Marine West Coast Forests, Northwestern Forest Mountains, and Mediterranean California ecoregions.

2. *Response to N.*—In northeastern forests, gradient studies demonstrate that N deposition enhances growth in some fast-growing tree species, including many hardwoods with AMF associations, whereas it slows growth in some EMF species (red spruce, red pine), and has no detectable effect on still other species (Thomas et al. 2010). Similarly, N deposition enhances survivorship in a few species capable of forming AMF associations (black cherry, red maple, paper birch) and decreases survivorship in others, all ectomycorrhizal (Thomas et al. 2010). Survivorship under chronic N deposition, and possibly other co-occurring pollutants such as ozone, is often dependent on interactions with other stressors such as pests, pathogens, climate change, or drought (Grulke et al. 2009, McNulty and Boggs 2010). Over the long term, these differential effects of N deposition on tree growth and survivorship are likely to shift species composition, possibly to more nitrophilic species, similar to patterns seen for organisms with shorter life spans.

We have few data that show a major structural or functional shift in forest ecosystems because of the long response time of trees and forest soils to changes in N inputs and N availability (Table 6). The relatively large pools of organic N in the forest floor, mineral soil, tree biomass, and detritus contribute to the relatively long lag time in forest ecosystem response to N inputs. Because of the long lag time in response to N treatments, it can be difficult to determine the actual critical N load for forest ecosystems based on short-term fertilization studies. If a response is observed over a relatively short period of time (i.e., years), it is nearly certain that the critical load is below the total N input at the treatment site and it can be difficult to further constrain the critical load. It is expected that the more complex and interconnected processes in forests will result in a higher critical load than other ecosystem types, in part, because large N storage pools give forest ecosystems a greater capacity to buffer N inputs.

3. *Critical loads.*—The range of critical loads reported for forest ecosystems is 4–39 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> (Table 6, Fig. 6a). The threshold N deposition value which caused increased NO<sub>3</sub><sup>-</sup> leaching from forest ecosystems into surface water was 8–17 kg N·ha<sup>-1</sup>·yr<sup>-1</sup>; the lower end of the range representing Northern and Eastern Forests, the upper end representing Mediterranean California mixed conifers (Table 6, Fig. 7a). At 4 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> in the Colorado Rockies, increasing [NO<sub>3</sub><sup>-</sup>] was reported in the organic horizon, which suggests incipient N saturation (Rueth and Baron 2002). The highest critical loads were reported for Mediterranean California mixed-conifer forests for forest sustainability and for soil acidification caused by increased N deposition. These sites experience some of the highest N deposition reported in the United States, up to ~70 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> (Fenn et al. 2008).

The critical load is exceeded across much of the East. The lower end of the critical load range is exceeded for the remaining portions of the eastern forests, as well as portions of the Marine West Coast Forests, Northwestern Forested Mountains, and Tropical and Subtropical Humid Forests ecoregions (Fig. 6b).

*Freshwater and wetland ecosystems.*—

1. *Background.*—Freshwater lakes and streams, and wetlands (freshwater and estuarine intertidal) are ecosystem types that occur in most ecoregions in North America. In freshwater lakes and streams, phytoplankton, or algae that live in the water column, are sensitive to the chemical environment in which they reside, and many species can be used as indicators of the levels of nutrients or acidity because of individual species' preference for specific chemical conditions. Diatoms are used in this discussion because there has been more work published on these algae than others, but other types of algae also respond to N deposition (Lafrancois et al. 2004, Michel et al. 2006). Of the wetlands which occur in the conterminous United States, 95% are freshwater and 5% are estuarine or marine (USDI FWS 2005). The species composition differs between freshwater and intertidal wetlands, although together they support >4200 native plant species. Despite the high biodiversity, the effects of N loading are studied in just a few plant species.

2. *Response to N.*—For the analysis of nutrient N effects to freshwater lakes and streams, we relied on papers and studies that linked aquatic biological and ecological response to atmospheric deposition, but the results are consistent with laboratory or in situ dose response studies and even land use change studies. The productivity of minimally disturbed aquatic ecosystems is often limited by the availability of N, and slight increases in available N trigger a rapid biological response that increases productivity and rearranges algal species assemblages (Nydick et al. 2004, Saros et al. 2005). The mechanism for change is alteration of N:P ratios, which can increase productivity of some species at the expense of others (Elser et al. 2009). As with the terrestrial systems, the nutrient responses of lakes and

streams are most evident where land use change and acidic deposition have been limited; thus, most evidence of exceedance of critical loads comes from high elevations of the western United States (Baron et al. 2011). As with terrestrial plants, some diatoms respond rapidly to an increase in available N. An example that has been observed from a number of different lakes of the Rocky Mountains is dominance of two diatoms (*Asterionella formosa* and *Fragilaria crotonensis*) in lakes with higher N, in contrast to the flora of lakes with lower N deposition, where there is a more even distribution, and thus high biodiversity, of diatoms. Higher trophic levels (zooplankton, macroinvertebrates) may be secondarily affected by N, but further increases in primary, or autotrophic, production will be limited by other nutrients such as P or silica (Si).

Both freshwater and estuarine intertidal wetlands tend to be N-limited ecosystems (U.S. EPA 1993, LeBauer and Treseder 2008). Known responses to N enrichment are generally derived from nutrient addition studies in the field and observations along gradients of N deposition. A variety of ecological endpoints are evaluated, such as altered soil biogeochemistry, increased peat accumulation, elevated primary production, changes in plant morphology, changes in plant population dynamics, and altered plant species composition (U.S. EPA 2008). In general, the sensitivity of wetland ecosystems to N is related to the fraction of rainfall (a proxy for atmospheric N deposition) in the total water budget. Most freshwater wetlands, such as bogs, fens, marshes, and swamps, have relatively closed water and N cycles, and thus, are more sensitive to N deposition than intertidal estuarine wetlands, such as salt marshes and eelgrass beds (Greaver et al. 2011).

3. *Critical loads.*—In general, critical loads for freshwater lakes and streams tend to be low, because the target organisms are unicellular algae that respond rapidly to changes in their chemical environment. The range of critical loads for eutrophication and acidity in freshwater is 2–9 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> (Baron et al. 2011); the range reported for terrestrial ecosystems is much broader (Table 1). Critical loads for NO<sub>3</sub><sup>-</sup> leaching from terrestrial ecosystems ranged from 4 to 17 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> (Fig. 7a), but many sensitive freshwaters at high altitudes are found above the treeline where few watershed buffering mechanisms exist due to sparse vegetation, poorly developed soils, short hydraulic residence time, and steep topography. These factors influence how rapidly a system exhibits elevated N leaching in response to increased N deposition, and how this increased N availability subsequently influences biota. In general, lakes have relatively rapid N turnover times compared to soil N pools and are at least seasonally well mixed. They would, thus, be expected to have lower critical loads. Thus, responses by terrestrial plants would not be expected to be as rapid as those of freshwater organisms. The critical load for

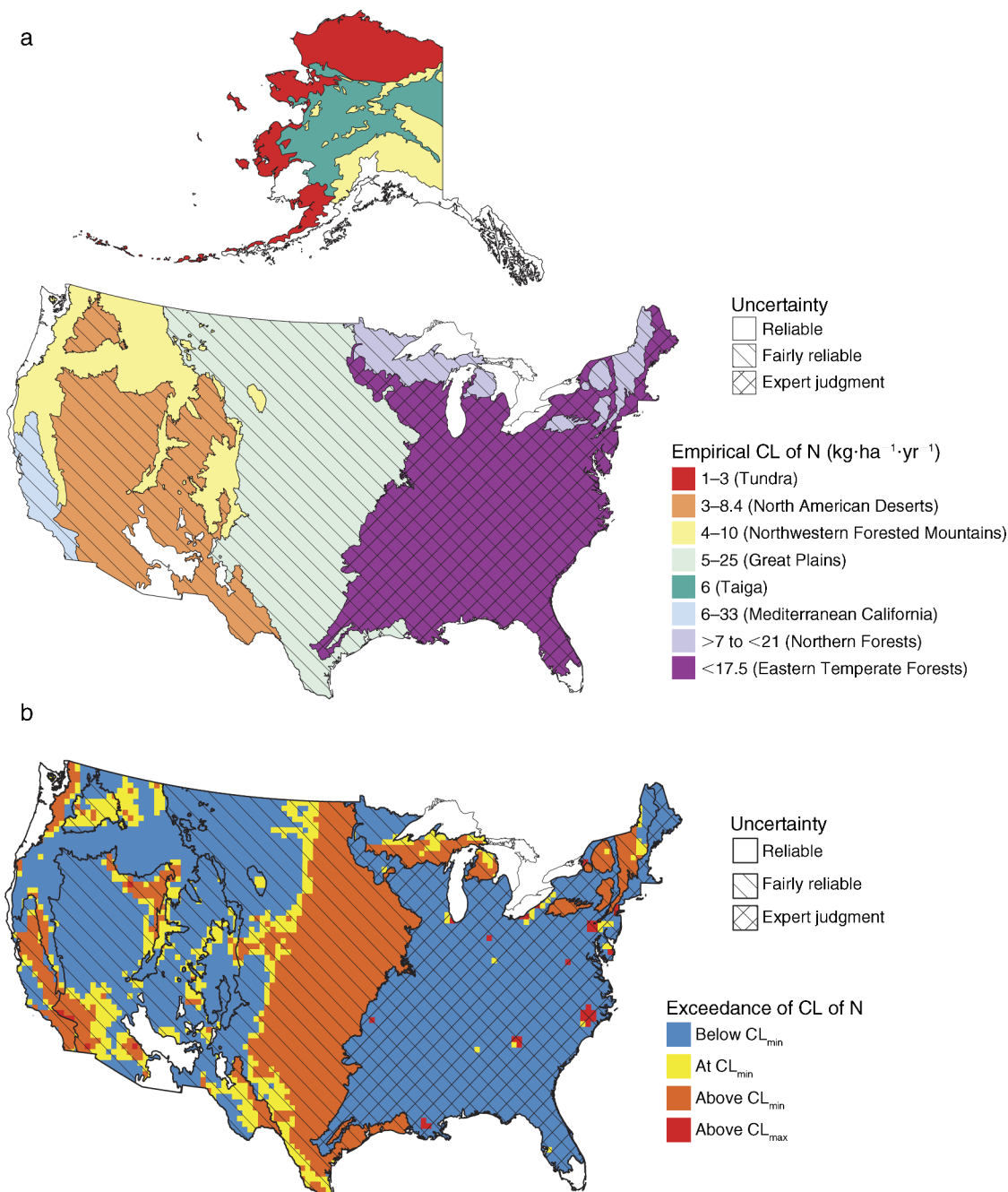


FIG. 5. Map of (a) critical loads and (b) exceedances of N for herbaceous plants and shrubs by ecoregion in the United States. (a) The range of critical loads reported for herbaceous plants and shrubs is shown for each ecoregion. The hatch marks indicate increasing level of uncertainty: no hatch marks for the most certain “reliable” category, single hatching for the “fairly reliable” category, and cross-hatching for the “expert judgment” category. The color sequence moves from red toward blue and violet as the critical load increases. As the range of the critical load gets broader, the saturation of the color decreases. (b) Exceedance (critical load – deposition) is shown for several categories: (1) no exceedance (below CL<sub>min</sub>), when deposition is lower than the CL range, (2) at CL<sub>min</sub>, when deposition is within ±1 of the CL range, (3) above CL<sub>min</sub>, when deposition is above the lower end of the CL range, but lower than the upper end of the range, (4) above CL<sub>max</sub>, when deposition is above the upper end of the CL range. CMAQ deposition data were not available for Alaska, so we were not able to calculate exceedance for Alaska.

NO<sub>3</sub><sup>-</sup> leaching is exceeded in portions of the Mediterranean California, and the lower end of the critical load range is exceeded for most of the Eastern Forest and part of the Great Plains ecoregions (Fig. 7b).

Generally, freshwater wetlands are more sensitive to N deposition than estuarine intertidal wetlands, with critical loads for freshwater wetlands that range from 2.7 to 14 kg N·ha<sup>-1</sup>·yr<sup>-1</sup> (Greaver et al. 2011). The

TABLE 6. Empirical critical loads (CL) of nutrient N for forest ecosystems in U.S. ecoregions.

Ecoregion	Ecosystem (site)	CL for N (kg N· ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Northern Forests	northeastern gradient	>3	#	decline in survivorship of sensitive species	based on study of gradient of N deposition from 3 to 11 kg N·ha <sup>-1</sup> ·yr <sup>-1</sup>	Thomas et al. (2010)
Northern Forests	hardwood and coniferous forests	8	##	increased surface water and NO <sub>3</sub> <sup>-</sup> leaching		Aber et al. (2003)
Northern Forests	montane spruce fir (Mt. Ascutney, Vermont)	>10 and <26	#	declines in growth and increased mortality		McNulty et al. (2005)
Northwestern Forested Mountains	subalpine forest	4	##	soil organic horizon and foliar N enrichment and higher potential net N mineralization rates		Baron et al. (1994), Rueth and Baron (2002)
Northwestern Forested Mountains	mixed-conifer forest	17	## #	NO <sub>3</sub> <sup>-</sup> leaching, reduced fine-root biomass	co-occurring ozone also affects fine-root biomass in ponderosa pine	Fenn et al. (2008)
Marine West Coast Forests	coastal white spruce forest (south-central Alaska)	5	(#)	declines in tree health; changes in understory composition; foliar nutritional imbalances; elevated NO <sub>3</sub> <sup>-</sup> in forest floor and mineral soil		Whytemare et al. (1997), Lilleskov (1999), Lilleskov et al. (2001, 2002)
Eastern Forests	eastern hardwood forests	>3	#	decline in survivorship of sensitive species	based on study of gradient of N deposition from 3 to 11 kg N·ha <sup>-1</sup> ·yr <sup>-1</sup>	Thomas et al. (2010)
Eastern Forests	eastern hardwood forests	8	##	increased surface water loading of NO <sub>3</sub> <sup>-</sup>		Aber et al. (2003)
Mediterranean California	mixed-conifer forests (San Bernardino Mountains and southern Sierra Nevada range)	17	##	streamwater [NO <sub>3</sub> <sup>-</sup> ] > 14 μM	based on regression of throughfall vs. peak streamwater NO <sub>3</sub> <sup>-</sup> concentrations. Daycent simulations gave similar results	Fenn et al. (2008)
Mediterranean California	mixed-conifer forests (San Bernardino Mountains)	17	#	reduced fine-root biomass	based on regression of throughfall N deposition and fine-root biomass in ponderosa pine (also affected by co-occurring ozone)	Grulke et al. (1998), Fenn et al. (2008)
Mediterranean California	mixed-conifer forests (San Bernardino Mountains)	25.9	#	soil acidification; pH ≤ 4.6	based on regression of throughfall N deposition and mineral soil H+	Breiner et al. (2007)
Mediterranean California	mixed-conifer forests (San Bernardino Mountains)	39	(#)	reduced forest sustainability	based on shifts in plant phenology and C allocation; caused by combined effects of ozone and N deposition; leads to increased bark beetle mortality and wildfire risk	Grulke et al. (1998, 2009), Grulke and Balduman (1999), Jones et al. (2004); N deposition data from Fenn et al. (2008)

TABLE 6. Continued.

Ecoregion	Ecosystem (site)	CL for N (kg N· ha <sup>-1</sup> ·yr <sup>-1</sup> )	Reliability†	Response	Comments	Study
Tropical and Subtropical Humid Forests	N-poor tropical and subtropical forests	5–10	(#)	ND	CL for N-poor forests based on estimates for Southeastern Coastal Plain forests	ND
Tropical and Subtropical Humid Forests	N-rich tropical and subtropical forests	<5–10	(#)	ND	CL for N-rich forests should be lower than for N-poor forests based on possibility of N losses	ND

Note: ND stands for “no data.”

† Key: ##, reliable; #, fairly reliable; (#), expert judgment.

bryophyte genus *Sphagnum* and the carnivorous pitcher plant are the two taxa most commonly studied. The critical loads reported for freshwater wetlands (Greaver et al. 2011) fall between those reported for inland surface waters (Baron et al. 2011) and those reported for terrestrial ecosystems (Pardo et al. 2011a). This pattern may be related to the rate of N released by soils/sediment to the ecosystem. The critical load tends to be higher for estuarine intertidal wetlands than other types of ecosystems because they have open nutrient cycles that are often strongly affected by N loading sources other than atmospheric deposition. Based on field observations of N loading effects on plant growth and species composition on salt marsh and eelgrass habitat, the critical load for estuarine intertidal wetlands ranges between 50 and 400 kg N·ha<sup>-1</sup>·yr<sup>-1</sup>.

#### *Relative sensitivities of different receptors, ecosystem types, and regions*

This synthesis demonstrates that empirical critical loads for N differ among life-forms, tending to increase in the following sequence: diatoms < lichens and bryophytes < mycorrhizal fungi < herbaceous plants and shrubs < trees. This variation likely reflects a variety of factors, including generation time and buffering against N impacts. That is, N deposition more rapidly affects those species that experience the most direct exposure to elevated N levels in the atmosphere (lichens and bryophytes) or receiving waters (diatoms), especially for those organisms that lack protective structures, such as a cuticle, for example. By contrast, the capacity of soil organic matter to accumulate large quantities of N may delay adverse impacts on many herbs, shrubs, and trees. The effects of altered N availability in shifting species composition often appears to occur most rapidly within those communities dominated by species with short life spans (diatoms) compared to those with long life spans (trees).

Critical loads vary more by receptor and response type than by region. For the same response of a given receptor, the western United States has generally similar critical load values to the eastern United States, with the apparent exception that the critical load for NO<sub>3</sub><sup>-</sup> leaching is approximately twice as high in Mediterra-

nean California mixed conifers compared to northeastern forests (Fig. 7). In contrast, the critical load for NO<sub>3</sub><sup>-</sup> leaching in high elevation catchments in the Colorado Front Range are lowest in the United States, likely attributable to low biological N retention and storage capacity in these steep, rocky catchments (Baron et al. 2000, Williams and Tonnesen 2000, Sickman et al. 2002, Fenn et al. 2003a, b).

In setting critical loads, ideally one would identify an indicator that would allow prediction of future deterioration in ecosystem structure or function before it occurs: an early indicator of ecosystem change. We are not yet able to definitively determine which early responses to N deposition are the best indicators of ecological harm, the central criterion for setting a critical load. In some cases, alteration of community composition for a given taxa group (e.g., lichens), may signal the beginning of a cascade of changes in ecosystem N cycling, which may dramatically alter the structure or function of the ecosystem as a whole. In many cases, changes in a single taxa group may have implications beyond that taxa group. In other cases, alterations within the community of a given taxa group may have little impact on the overall structure and function of the ecosystem. It can be difficult to know, at the outset, whether the ultimate consequences of changes indicated by alterations to a given taxa group will be large or small for the overall ecosystem over the long term.

However, understanding of the progressive series of changes that occur during N saturation should inform this process, along with recognition of the role of N in increasing vulnerability to other stressors such as insects, drought, freezing, and other pollutants. For example, elevated N inputs may lead to plant nutrient imbalances, which then increase plant susceptibility to stressors such as cold, drought, or pests (Bobbink et al. 1998, Schaberg et al. 2002). These responses have been observed in a southern Vermont montane red spruce stand, where low-level N additions led to increased foliar N concentration, decreased foliar membrane-associated calcium and cold tolerance and increased winter injury (Schaberg et al. 2002). Another key indicator is increased soil NO<sub>3</sub><sup>-</sup> leaching, especially during episodic acidification of



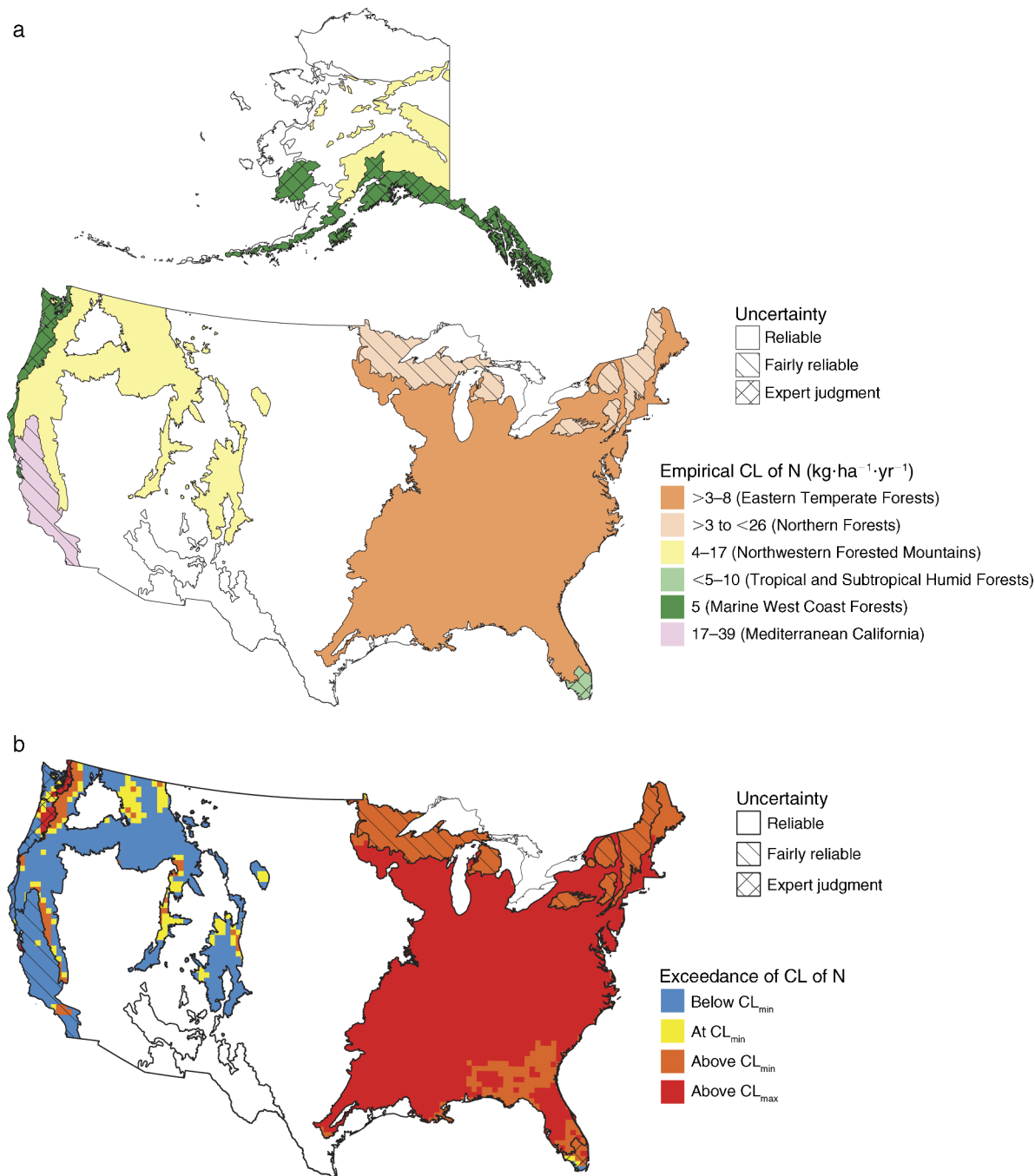


FIG. 6. Map of (a) critical loads and (b) exceedances of N for forest ecosystems by ecoregion in the United States. (a) The range of critical loads reported for forest ecosystems is shown for each ecoregion; this map does not include the responses of mycorrhizal fungi, lichens, or understory herbaceous plants already represented. The hatch marks indicate increasing level of uncertainty: no hatch marks for the most certain “reliable” category, single hatching for the “fairly reliable” category, and cross-hatching for the “expert judgment” category. The color sequence moves from red toward blue and violet as the critical load increases. As the range of the critical load gets broader, the saturation of the color decreases. (b) Exceedance (critical load – deposition) is shown for several categories: (1) no exceedance (below  $\text{CL}_{\min}$ ), when deposition is lower than the CL range, (2) at  $\text{CL}_{\min}$ , when deposition is within  $\pm 1$  of the CL range, (3) above  $\text{CL}_{\min}$ , when deposition is above the lower end of the CL range, but lower than the upper end of the range, (4) above  $\text{CL}_{\max}$ , when deposition is above the upper end of the CL range. CMAQ deposition data were not available for Alaska, so we were not able to calculate exceedance for Alaska.

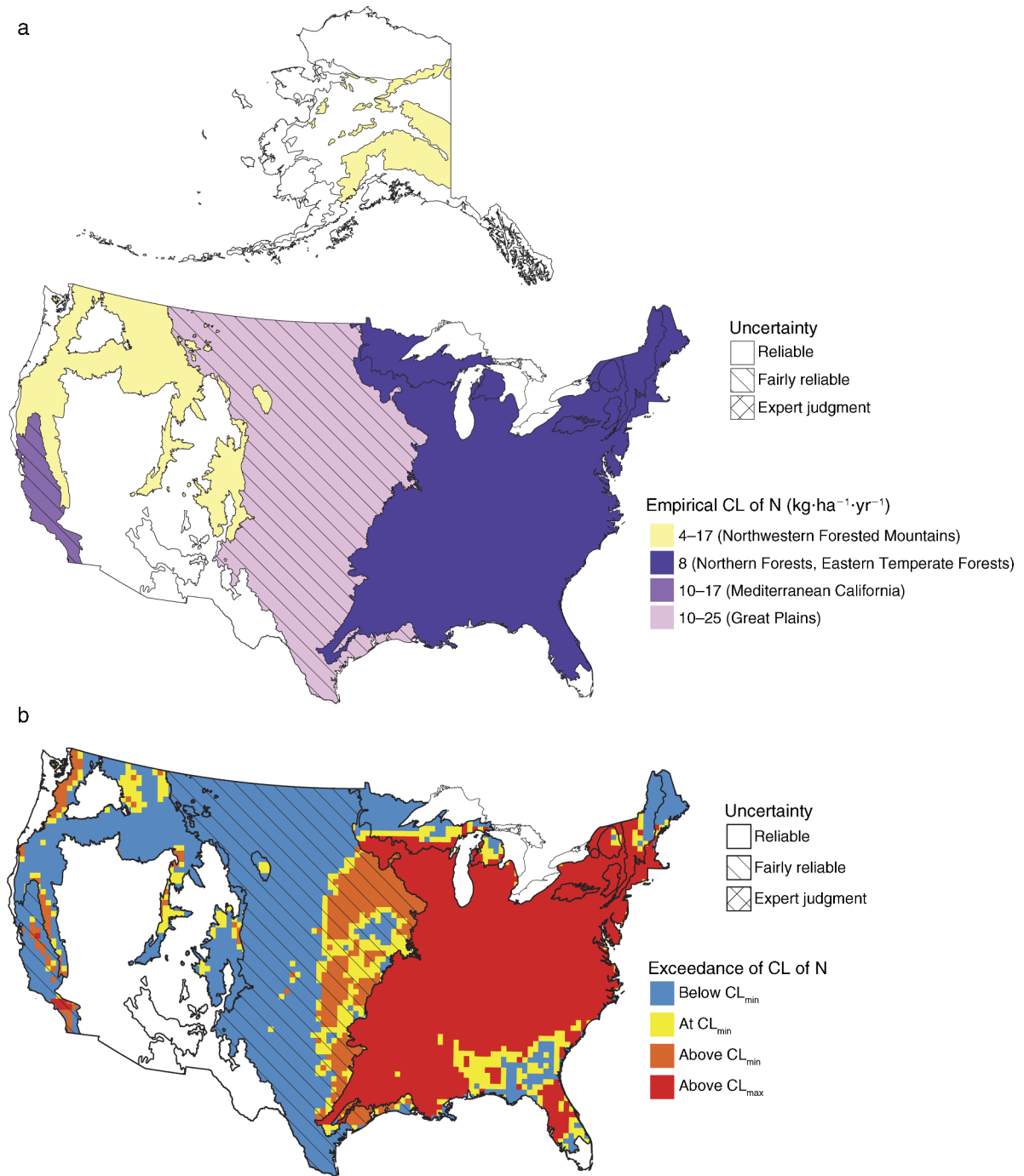


FIG. 7. Map of (a) critical loads and (b) exceedances of N based on increased nitrate leaching by ecoregion in the United States. (a) The range of critical loads based on increased nitrate leaching for each ecoregion. The hatch marks indicate increasing level of uncertainty: no hatch marks for the most certain “reliable” category, single hatching for the “fairly reliable” category, and cross-hatching for the “expert judgment” category. The color sequence moves from red toward blue and violet as the critical load increases. As the range of the critical load gets broader, the saturation of the color decreases. (b) Exceedance (critical load – deposition) is shown for several categories: (1) no exceedance (below  $\text{CL}_{\min}$ ), when deposition is lower than the CL range, (2) at  $\text{CL}_{\min}$ , when deposition is within  $\pm 1$  of the CL range, (3) above  $\text{CL}_{\min}$ , when deposition is above the lower end of the CL range, but lower than the upper end of the range, (4) above  $\text{CL}_{\max}$ , when deposition is above the upper end of the CL range. CMAQ deposition data were not available for Alaska, so we were not able to calculate exceedance for Alaska.

surface waters, harming fish species (Baker et al. 1996). Thus, changes in foliar nutrient status or increased nitrification rates are prime candidates for early biogeochemical thresholds that can be very useful for setting critical loads.

#### *Factors that affect the critical load*

One of the objectives of this assessment was to lay the groundwork for further refining and improving estimates of critical loads. Multiple abiotic and biotic factors affect where the value of the critical load falls within the reported range (Table 2). Abiotic influences include a range of climatic, hydrologic, and soil factors that can affect the timing and magnitude of N delivery to sensitive receptors. Climatic factors include temperature, precipitation amount and distribution, and the extent and rate of climate change. For example, increased precipitation increases the critical load for lichens (see Appendix; Geiser et al. 2010). Hydrologic factors include catchment size, topographic relief, and flow path, which affect the processing and delivery of  $\text{NO}_3^-$  to surface waters. Soil factors include soil type, age, depth, coverage, and parent material, all of which can influence soil capacity to store or remove N, and increase a site's critical load. Disturbance may also play a substantial role, for example, N removal by fire or forest cutting may increase the critical load for nutrient N. Past agricultural land uses may either increase or decrease the critical load depending on their impact on soil nutrients and biotic communities.

Biological factors likely to contribute to lower N critical loads include particularly sensitive species (diatoms, lichens, mycorrhizal fungi, certain plants), single species vs. community responses, low biomass and low-productivity ecosystems, short life span of receptor of concern, presence of invasive species, and presence of ozone-sensitive species (Grulke et al. 1998, 2009, Grulke and Balduman 1999, Fenn et al. 2008). For example, low-biomass ecosystems (e.g., grasslands, coastal sage scrub, desert) are more sensitive to N-enhanced growth of invasive species, if invasive pressure occurs. These low-biomass ecosystem types sometimes occur because of warm and dry climatic conditions. Because warmer temperatures often correspond to greater metabolic rates, longer periods of biological activity, greater biomass, and more rapid N cycling, one might expect that the critical load would increase with increasing temperature as has been suggested in Europe (Bobbink et al. 2003). We do not observe such a pattern across U.S. ecoregions in the critical loads reported in this synthesis, but Europe does not have warm and dry deserts with low critical loads as does the United States. Note, however, that the uncertainty of the critical load estimates varies and is often fairly high, which may make it difficult to discern patterns in critical load values across regions. Moreover, a temperature pattern may be confounded by gradients in deposition form and quantity, moisture, and elevation.

The factors discussed in the previous two paragraphs provide general guidance in applying critical loads. In order to set a critical load for a given site, the first step would be to determine whether the site of concern is similar to the site/or sites on which the critical load for that ecosystem type is based. Details on the estimation of critical loads are described by ecoregion in Pardo et al. (2011c). If the site differs from the sites upon which the critical load is based, Table 2 lists ecoregion-specific factors affecting the critical load that can be useful in adjusting the estimated critical load for a given site.

Note that the magnitude or type of ecosystem change that is unacceptable may vary according to resource management goals or ecosystem services that are valued by a particular stake holder. In a conservation area, for example, any alteration in N cycling may be considered unacceptable, whereas for other land areas, changes of a certain magnitude or scope may be considered acceptable or desirable based on resource use (such as timber harvesting) or other factors. For example, some responses to low levels of elevated N deposition, such as increased plant growth and increased C sequestration by trees (Thomas et al. 2010) may be considered beneficial where forests are managed for tree growth.

The more we are able to identify and quantify the factors that affect the critical load, the more we move towards a mechanistic understanding of the responses, and the better we are able to extrapolate observations across and within ecoregions. In some cases, it may be possible to develop simple empirical relationships as a function of one or several variables that allow us to refine our critical loads estimates. For example, for lichens, Geiser et al. (2010) developed simple regression relationships including precipitation that explain much of the variability in lichen community composition in response to N deposition, because decreasing precipitation corresponds to exposure to higher concentrations of N. These regression models can be used to estimate critical loads in other regions and also can provide an estimate of the uncertainty associated with the critical load. Such models, strongly tied to empirical observations, will prove invaluable in the development of dynamic models for nutrient N critical loads.

#### *Uncertainty in critical loads estimates*

There are several sources of uncertainty in our assessment of empirical critical loads beyond those associated with atmospheric deposition (see section *Approach: Deposition*). These include data gaps, time lags, and effects of multiple stressors.

*Data gaps.*—In general, there is a dearth of observations on ecosystem response to N inputs near the critical load. Without extensive, spatially stratified observations, it is not possible to know whether a study site is more or less sensitive than other sites in the ecoregion. The threshold value is best defined by a large number of studies that demonstrate the range of responses observed.

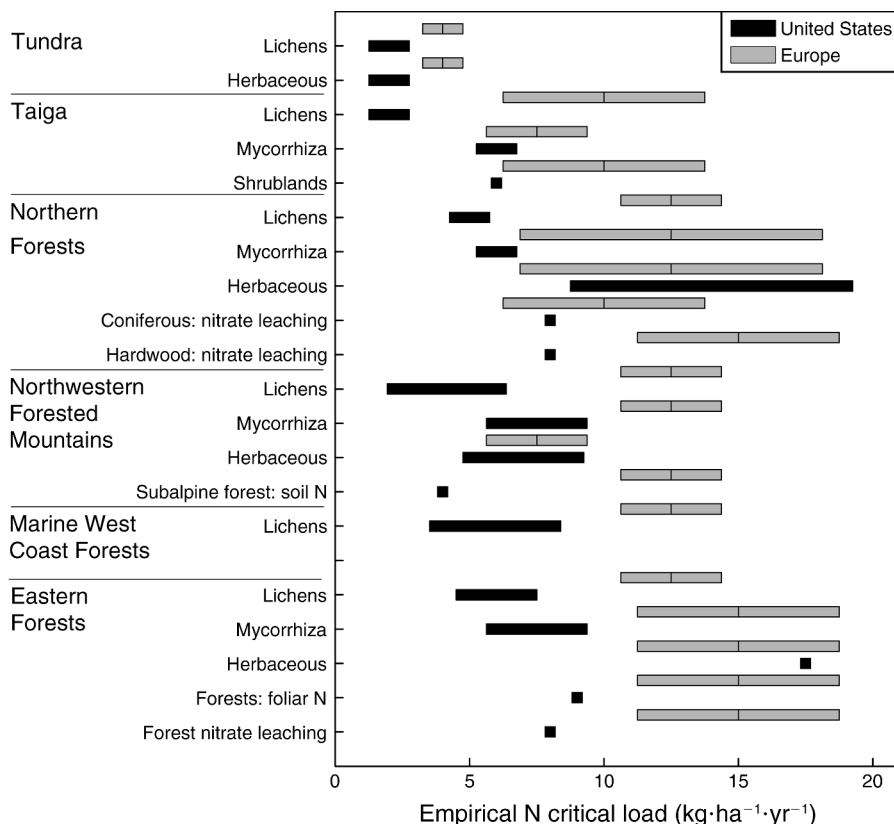


FIG. 8. Comparison of European and U.S. empirical critical loads for nutrient nitrogen. Critical loads for comparable European ecosystems, based on Bobbink and Hettelingh (2011), are typically higher than those reported for U.S. ecoregions. For a number of receptors, the Bobbink and Hettelingh (2011) values are lower than previous empirical critical loads for Europe (Bobbink et al. 2003).

*Time lags in the response to N deposition.*—Time lags are often observed in N addition studies, with the magnitude of the time lag a function of the N residence time and the organisms considered. This time lag increases with life span and size of organism; a tree will respond more slowly than an herbaceous annual, for example. Time lags are also a function of the rate of N input and the system's capacity for N storage, with lower rates of input typically leading to longer time lags before an initial response (Clark and Tilman 2008).

*Effects of multiple stressors and other confounding variables.*—Uncertainty also arises from the confounding effects of multiple stressors and other covariates, especially in N gradient studies, where it can be difficult to sort out the impact of other factors that vary along the gradient, such as climate, weather, soils, vegetation, disturbances, land use history, and exposure to other pollutants. Multivariate statistics or other approaches can sometimes tease apart effects of N from other factors, but sometimes correlated stressors can be difficult to separate. In these cases, impacts attributed to N could actually be the result of a correlated variable or the interaction between the two. On the other hand, because these represent “real-world” conditions (in most locations, multiple stressors co-occur), the critical loads

estimated in the presence of these stressors might better protect the ecosystems under the current conditions (Fenn et al. 2008).

*Comparison to critical loads in Europe.*—With a few exceptions, the critical loads for N deposition we report for the United States (Pardo et al. 2011a) are lower than those reported for Europe (Fig. 8; Bobbink and Hettelingh 2011). There are several potential reasons, including: greater availability of pristine baselines in the United States, more intensive land use in Europe, greater dominance of N deposition by reduced forms of N in Europe, and different threshold criteria.

1. *Availability of pristine baselines.*—Because of high historic deposition levels, many European systems lack pristine baseline ecosystems as a reference to compare to those experiencing elevated N deposition. For example, European critical loads for lichens have been much higher than those in the United States (Bobbink et al. 2003). These loads were influenced by study sites in Scotland experiencing a deposition gradient from 10 to 22 kg N-ha<sup>-1</sup>·yr<sup>-1</sup> from which critical loads were set at 11–18 kg N-ha<sup>-1</sup>·yr<sup>-1</sup> (Mitchell et al. 2005). However, no oligotrophic species were observed, presumably because they were eliminated prior to the initial studies.

2. *Land use.*—A larger fraction of the forested landscape in Europe is heavily managed (harvested and planted) relative to the United States. High rates of harvest removals of N in biomass, creating greater N demand and storage during reestablishment of the forest stand could contribute to higher critical loads in Europe than the United States.

3. *Forms and mode of measurement of N inputs.*— $\text{NH}_4^+$  inputs tend to be higher and represent a greater proportion of total N inputs in Europe, particularly in past decades; this is changing in the United States. Some receptor species can be more sensitive to reduced than oxidized forms of N inputs, and nitrification of  $\text{NH}_4^+$  inputs can accelerate ecosystem acidification relative to inputs of  $\text{NO}_3^-$ .

4. *Threshold criteria.*—Another possible explanation for the higher critical loads is that the response thresholds utilized in Europe are sometimes higher. For example, choosing a threshold of a shift in lichen community composition will produce a much lower critical load than a threshold of near extirpation of lichen species as used in earlier European work (Bobbink et al. 2003). As a second example, choosing a threshold of initial changes in N biogeochemistry in the Colorado Front Range interpreted as incipient responses of N saturation, led to a critical load  $<4 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$  (Rueth et al. 2003). This is a subtle initial N enrichment response when compared to the magnitude of change (a later stage of N saturation) for the critical loads thresholds in Europe ( $10\text{--}15 \text{ kg N}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$ ).

#### CONCLUSIONS

The most significant changes that we are currently observing in the United States in response to elevated N deposition are changes in species composition: losses of N-sensitive species, shifts in dominance, and losses of native species in favor of exotic, invasive species. Shifts in diatom and lichen community composition away from N-intolerant (oligotrophic) species are observed across the country. Alterations in herbaceous species are broadly observed, but are not always clearly documentable because of the long-term pollution inputs and other disturbances (including land use change) that caused changes prior to the initiation of careful observations.

Numerous examples illustrate the significance of these species- and community-level effects. In serpentine grasslands in California, it was clearly demonstrated that, unless N inputs are decreased or N is removed in biomass, a larval host plant and numerous nectar source plants utilized by a threatened and endangered butterfly will decrease to levels unable to sustain the checkerspot butterfly population (Weiss 1999, Fenn et al. 2010). In Joshua Tree National Park in southern California, N deposition favors the production of sufficient invasive grass biomass to sustain fires that threaten the survival of the namesake species (Fenn et al. 2010, Rao et al. 2010). Other sensitive ecosystems include alpine meadows, where relatively low levels of N deposition have already

changed species composition (Bowman et al. 2006). Changes in historical diatom community composition from N-limited to N-tolerant species have been observed in lake sediment cores at many locations in the western United States, providing early evidence of freshwater ecosystem eutrophication (Wolfe et al. 2001, 2003).

Changes in ecosystem structure are linked to changes in ecosystem function. For example, extirpation of lichens can alter food webs by reducing the availability of nesting material for birds, invertebrate habitat, and critical winter forage for mammals, and can also affect nutrient cycling (Cornelissen et al. 2007). In some arid low-biomass California ecosystems, N-enhanced growth of invasive species results in increased fire risk, even in areas where fire is normally infrequent (Allen et al. 2009, Fenn et al. 2010, Rao et al. 2010).

There is also evidence of N deposition contributing to multiple-stress complexes, resulting in reduced forest sustainability (Grulke et al. 2009, McNulty and Boggs 2010). In North Carolina, elevated N deposition predisposed a pine ecosystem to a pest outbreak following a drought (McNulty and Boggs 2010). These types of complex interactions may be difficult to predict, but may intensify the impact of elevated N deposition in concert with other stressors, including climate change (Wu and Driscoll 2010). Further examples of changes in ecosystem structure and function are observed in coastal areas, where increased N export has led to toxic algal blooms (Rabalais 2002). As an example of N deposition effects on trace gas chemistry and climate change, N loading to ecosystems results in increased emissions of N trace gases, such as NO (nitric oxide, an ozone precursor),  $\text{N}_2\text{O}$  (nitrous oxide, a long-lived and powerful greenhouse gas), as well as declines in soil uptake of  $\text{CH}_4$  (methane, another long-lived and powerful greenhouse gas) (e.g., Liu and Greaver 2009).

This synthesis demonstrates that elevated N deposition has altered ecosystem structure and function across the United States. Empirical critical loads for N provide a valuable approach for evaluating the risk of harm to ecosystems. This approach has been used broadly in Europe (Bobbink et al. 2003, UBA 2004) and has the advantage of being scientifically based on observed responses. This link to actual ecosystem responses is especially beneficial in resource management and policy contexts. This document and Pardo et al. (2011c) provide the first comprehensive assessment of empirical critical loads of N for ecoregions across the United States. They represent an important step toward providing policymakers and resource managers with a tool for ecosystem protection, as was suggested by the National Research Council (NRC 2004).

#### FUTURE RESEARCH PRIORITIES

The objective of future research should be better integration of improved atmospheric deposition models, empirical and dynamic critical load models in order to develop critical load and exceedance maps at scales



useful for regulatory, policy making, land management, and resource protection purposes. A key step in refining critical loads estimates and laying the groundwork for more complex dynamic models is identifying mechanisms that control plant and ecosystem responses to N deposition. We recommend the following research priorities:

1) Improved quantification of total N deposition (wet, dry, and cloud/fog), including the measurement of reduced ( $\text{NH}_x$ ) and organic N.

2) An expanded network of long-term, multi-level, low-N fertilization studies, and adequate N deposition gradient studies across a greater diversity of ecosystem types and extending to regions of low N deposition. Such a network would allow development of dose-response curves for the receptors discussed here that better define the critical load and associated uncertainty.

3) Evaluation of the environmental and ecological factors that influence critical loads for ecoregions and quantifying how the critical load varies as key factors change across ecoregions. In the United States, the sparsest data sets on N deposition effects are in the tundra, taiga, tropical and subtropical forest, and desert ecoregions.

4) Evaluation of the differential response to reduced vs. oxidized N inputs. Because some plants are particularly sensitive to  $\text{NH}_x$  (Krupa 2003), while others are more sensitive to  $\text{NO}_y$  (Nordin et al. 2006), assembling comprehensive data about species-specific responses would allow more accurate assessment of potential risks to ecosystems in relation to the major N emissions sources.

5) Use of methods that can account for effects on longer lived organisms, and lack of pristine baselines caused by historical N deposition, other pollutants, or habitat alteration, e.g., dendrochronology, paleolimnology.

6) Quantification of effects of N deposition on forest growth and susceptibility to secondary stressors. Insufficient data are available to determine critical loads for the effects of increasing N inputs on pest outbreaks, drought, cold tolerance, tree vigor, and other multiple-stress complexes.

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## APPENDIX

Detailed description of methods for estimating empirical critical loads of nitrogen (*Ecological Archives* A021-137-A1).